

LABOR AND REGULATION

10 LABOR AND REGULATION

Mission:

The mission of the Department of Labor and Regulation is to promote economic opportunity and financial security for individuals and businesses through quality, responsive and expert services; fair and equitable employment solutions; and safe and sound business practices.

LEGAL CITATION: The Department of Labor and Regulation is structured by virtue of Executive Order 2011-01. SDCL 1-37 established the secretary as the department head. Laws governing department divisions are: SDCL 47-31B; SDCL 51A-2; SDCL 58-2; SDCL 60-1 through 60-6, 60-6A, 60-9, 60-9A, 60-10 through 60-12, 3-18, 3-12, 3-12A, 3-12A, and 1-35-8; and SDCL Titles 61 and 62.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 1,285,350	\$ 1,587,727	\$ 2,039,124	\$ 2,039,124	\$ 2,039,124	\$ 0
Federal Funds	26,443,476	25,202,826	31,737,295	30,422,085	30,495,249	(1,242,046)
Other Funds	8,959,836	9,595,634	11,751,540	11,756,040	11,648,086	(103,454)
Total	<u>\$ 36,688,662</u>	<u>\$ 36,386,187</u>	<u>\$ 45,527,959</u>	<u>\$ 44,217,249</u>	<u>\$ 44,182,459</u>	<u>(\$ 1,345,500)</u>
EXPENDITURE DETAIL:						
Personal Services	\$ 22,652,219	\$ 22,077,500	\$ 26,842,410	\$ 26,552,468	\$ 26,517,362	(\$ 325,048)
Operating Expenses	14,036,443	14,308,687	18,685,549	17,664,781	17,665,097	(1,020,452)
Total	<u>\$ 36,688,662</u>	<u>\$ 36,386,187</u>	<u>\$ 45,527,959</u>	<u>\$ 44,217,249</u>	<u>\$ 44,182,459</u>	<u>(\$ 1,345,500)</u>
Staffing Level FTE:	401.4	393.1	424.3	419.3	419.3	(5.0)

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1001 Secretariat Administration

Mission:

To improve the administration of and provide centralized support services for the Department of Labor and Regulation's programs and occupational licensing boards and commissions; to develop a skilled workforce through job training and employment services; to collect, analyze, and provide labor market information; to certify, license, and register real estate appraisers; and to provide integrated financial, legal, and public affairs support across the department.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 605,033	\$ 605,033	\$ 658,473	\$ 658,473	\$ 658,473	\$ 0
Federal Funds	9,718,575	9,264,594	11,975,908	11,475,908	11,475,908	(500,000)
Other Funds	208,123	212,306	301,515	301,515	301,515	0
Total	\$ 10,531,732	\$ 10,081,933	\$ 12,935,896	\$ 12,435,896	\$ 12,435,896	(\$ 500,000)
EXPENDITURE DETAIL:						
Personal Services	\$ 3,079,594	\$ 3,010,441	\$ 3,492,012	\$ 3,492,012	\$ 3,492,012	\$ 0
Operating Expenses	7,452,138	7,071,492	9,443,884	8,943,884	8,943,884	(500,000)
Total	\$ 10,531,732	\$ 10,081,933	\$ 12,935,896	\$ 12,435,896	\$ 12,435,896	(\$ 500,000)
Staffing Level FTE:	50.5	51.0	52.5	52.5	52.5	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Appraiser Certification:				
New Application Fees	7,035	7,710	7,500	7,500
Renewal Fees	90,355	122,440	122,000	122,000
Investment Council Interest	1,751	1,687	1,680	1,680
Risk Retention Group Lic	1,300	1,770	1,500	1,550
Reciprocity Fees	6,750	8,765	8,765	8,765
Temporary Fees	16,800	25,800	25,000	25,000
Penalty/Discipline Fees	3,675	8,800	5,000	5,000
Course Fees	4,800	5,800	5,000	5,000
Penalty/Renewals	300	540	500	500
7 hour USPAP Course Penalty		1,800		1,500
Contested Case Assessment	11,175	4,751	4,000	4,000
Supervisor/Trainee Applications	450	450	450	450
Supervisor Renewal	5,000	4,600	4,000	4,000
Supervisor Renewal Late Fee	25			
Appraisal Management Fund				
New Application Fees	11,000	9,000	3,000	3,000
License Renewal Fees	69,750	73,500	63,750	63,750
Late Renewal Fees		50		
Investment Council Interest	2,256	2,997	2,256	2,256
Total	232,422	280,460	254,401	255,951

PERFORMANCE INDICATORS

State Labor Force	450,900	453,175	453,175	455,930
Employed Labor Force	435,195	436,675	436,675	439,630
Unemployed Labor Force	15,705	16,500	16,500	16,300
Unemployment Rate	3.5%	3.6%	3.6%	3.6%
Requests for Labor Market Information*	563,470	255,756	115,000	115,000
Labor Market Publications				
(Copies Distributed)	12,352	10,591	12,000	12,000
Adult Basic Education ABE/GED Participants	2,474	2,512	2,550	2,600
Appraisers--New/Renewed Licenses	11/380	36/410	34/410	34/410
Complaints Received (Appraisers)	2	7	5	5
Upgrade/New Application Reviews	12/2	12/3	12/3	12/3
Reciprocity/Temporary	18/112	23/129	23/125	23/125
Course Applications	96	116	100	100

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
PERFORMANCE INDICATORS				
Supervisor/Trainees (New/Renewed)	2/48	3/46	3/40	3/40
Appraisal Management new applications	10	9	3	3
Appraisal Management renewals	92	94	85	85

*The "Requests for Labor Market Information (LMI)" category includes requests for information received via phone, email and other electronic requests. Other electronic requests include users obtaining information from the LMIC website (<http://dlr.sd.gov/lmic/default.aspx>) and South Dakota Career InSite. It is important to note the number of page views is the total number of pages viewed. Therefore, repeated views of a single page are included in this count.

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1004 Unemployment Insurance Service

Mission:

To provide economic support to workers and protect the interest of workers and businesses by determining UI eligibility and liability, collecting taxes, making payments and ensuring compliance all through exceptional service.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 150,000	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	6,123,758	5,773,138	7,417,451	6,808,594	6,808,594	(608,857)
Other Funds	0	0	0	0	0	0
Total	\$ 6,123,758	\$ 5,923,138	\$ 7,417,451	\$ 6,808,594	\$ 6,808,594	(\$ 608,857)
EXPENDITURE DETAIL:						
Personal Services	\$ 4,008,817	\$ 3,651,430	\$ 4,276,542	\$ 4,173,035	\$ 4,173,035	(\$ 103,507)
Operating Expenses	2,114,941	2,271,708	3,140,909	2,635,559	2,635,559	(505,350)
Total	\$ 6,123,758	\$ 5,923,138	\$ 7,417,451	\$ 6,808,594	\$ 6,808,594	(\$ 608,857)
Staffing Level FTE:	78.2	70.2	76.0	74.0	74.0	(2.0)

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
PERFORMANCE INDICATORS				
Applications for Benefits	15,267	14,122	15,400	15,400
Number of Weekly Payments	84,472	80,809	88,000	88,000
Average Weekly Payment	\$297	\$312	\$327	\$343
Average Number of Weekly Payments	14.1	13.7	14.0	14.0
Average Total Payment	\$4,188	\$4,270	\$4,578	\$4,802
Individuals Receiving Payments	5,983	5,881	6,300	6,300
% of First Payments Made Within 14 Days	95.7%	97.8%	96.0%	96.0%
Total Dollars Paid*	\$23,757,860	\$24,007,476	\$26,500,000	\$28,000,000
Fed. Claims Reimbursed by Fed. Government	\$1,516,627	\$1,606,125	\$1,650,000	\$1,700,000
State/Nonprofit Claims Reimbursed by Employer	\$1,790,815	\$1,791,475	\$1,800,000	\$1,850,000
Number of Covered Employers	26,723	27,248	27,800	28,300
UI Taxes Paid	\$39,066,460	\$36,668,614	\$35,000,000	\$35,700,000
Trust Fund Balance	\$89,464,934	\$102,764,413	\$108,900,000	\$116,200,000

* Does not include Federal programs and fund transfers between states for interstate claims.

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1005 Field Operations

Mission:

To achieve a skilled workforce contributing to economic development by efficiently and respectfully serving businesses, job seekers, and community partners through innovative workforce development solutions and serving as an information resource.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 162,991	\$ 655,578	\$ 655,578	\$ 655,578	\$ 0
Federal Funds	9,923,584	9,491,055	11,813,044	11,813,044	11,813,044	0
Other Funds	0	0	0	0	0	0
Total	\$ 9,923,584	\$ 9,654,046	\$ 12,468,622	\$ 12,468,622	\$ 12,468,622	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 8,240,625	\$ 7,921,767	\$ 10,032,503	\$ 10,032,503	\$ 10,032,503	\$ 0
Operating Expenses	1,682,959	1,732,279	2,436,119	2,436,119	2,436,119	0
Total	\$ 9,923,584	\$ 9,654,046	\$ 12,468,622	\$ 12,468,622	\$ 12,468,622	\$ 0
Staffing Level FTE:	159.3	154.9	166.0	166.0	166.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
PERFORMANCE INDICATORS				
New and Renewed Job Applicants*	64,080	54,543	60,000	60,000
Employer Job Orders Received*	48,155	46,086	50,000	50,000
Employer Job Openings Received*	89,964	94,713	92,000	92,000
Wagner-Peyser Employment Services (ES)				
Entered Employment (Unduplicated)*	24,751	21,671	22,000	22,000
ES Employment Retention Rate*	85%	84%	82%	82%
ES Entered Employment Rate*	85%	67%	75%	75%
Workforce Investment Act (WIA) Participants:				
Adult/Dislocated/Youth*	589/233/415	625/222/344	700/250/350	700/250/350
WIA Adult Entered Employment Rate*	78%	78%	72%	72%
WIA Youth Employment/Education Rate*	70%	70%	43%	43%
WIA Dislocated Worker Entered Emp. Rate*	86%	86%	84%	84%
WIA Adult Retention Rate*	86%	86%	74%	74%
TANF Recipients Served/Work Activity	985/2,107	1,449/2,954	1,250/2,500	1,250/2,500
TANF Avg Statewide Participation Rate (FFY)	45%	50%	50%	50%
SNAP Recipients Served/Work Activity	7,715/4,380	8,063/4,008	7,500/3,750	7,500/3,750
Veterans w/ Significant Barriers to Employment	631	782	800	800
UI Recipients Referred to Reemploy. Svcs	5,014	2,805	3,000	3,000
Dakota Roots Participants Entered Employment	443	181	250	250

*The passage of the Workforce Innovation Opportunity Act (WIOA) replaced the Workforce Investment Act (WIA). The new rules and regulations published on June 30, 2016 will result in changes to the performance indicators metrics in FY17 and FY18.

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1006 State Labor Law Administration

Mission:

To responsively provide dispute resolution and help people through investigations, enforcement, compliance, and education of workforce and discrimination law.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 680,317	\$ 669,703	\$ 725,073	\$ 725,073	\$ 725,073	\$ 0
Federal Funds	404,175	138,670	490,410	284,057	357,221	(133,189)
Other Funds	287,965	371,074	501,107	501,107	501,107	0
Total	\$ 1,372,457	\$ 1,179,446	\$ 1,716,590	\$ 1,510,237	\$ 1,583,401	(\$ 133,189)
EXPENDITURE DETAIL:						
Personal Services	\$ 1,023,531	\$ 845,890	\$ 1,295,270	\$ 1,108,835	\$ 1,179,133	(\$ 116,137)
Operating Expenses	348,926	333,556	421,320	401,402	404,268	(17,052)
Total	\$ 1,372,457	\$ 1,179,446	\$ 1,716,590	\$ 1,510,237	\$ 1,583,401	(\$ 133,189)
Staffing Level FTE:	16.3	13.7	19.0	16.0	16.0	(3.0)

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Workers' Compensation (WC) Self-Insurance				
Application Fees	26,000	26,000	26,000	26,000
WC Insurance Policy Fees	274,331	277,871	280,000	280,000
WC Managed Care Plan Fees	3,250	2,750	2,750	2,750
Interest Income	12,742	15,008	15,000	15,000
First Report Late Filing Fines	41,300	37,600	38,000	38,000
Total	357,623	359,229	361,750	361,750

PERFORMANCE INDICATORS				
Collective Bargaining Filings of Petitions for Hearing	16	25	17	20
Collective Bargaining Petitions Settled or Dismissed Prior to Hearing	9	3	5	5
Hearings Held to Arbitrate, Mediate, or Conduct Collective Bargaining Matters and Render Decisions	8	22	12	15
Wage Inquiries/Wage Law Complaints Filed	5,899/243	6,000/250	6,000/250	6000/250
Private Industry Employees Affected by WC	345,500	350,300	354,850	359,000
Private Industry WC First Reports of Injury	18,903	18,543	18,500	18,500
New Filings of Private Industry WC Petitions	200	183	185	185
Private Industry WC Claims Settled or Dismissed Prior to Hearing	105	166	160	160
Private Industry WC Hrng Petitions Pending	348	365	390	390
Private Industry WC Claims Resulting in a Formal Hearing	16	10	15	15
Hearings Held to Mediate WC Matters	47	34	40	40
UI Appeals Filings of Petitions for Hearing	1,001	888	900	900
UI Appeals Resulting in Final Order of Decision	1,123	901	900	900
UI Appeals Pending	61	38	60	60
Human Rights Charges Received/Conciliated	341/3	315/1	320/2	320/2
Human Rights Case Closures	68	58	65	65
Human Rights Unsuccessful Conciliations	2	2	2	2
WC Independent Contractor Applications	198	165	170	170
WC Agreements Reviewed/Approved	671/778	669/662	670/700	670/700

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1031 Board of Accountancy - Info

Mission:

To protect the citizens of South Dakota from receiving inadequate accounting services by licensing qualified accountant applicants, monitoring continuing professional education and annual reporting requirements, and enforcing statutes and rules promulgated to regulate the practice of public accountancy.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	253,964	253,133	321,816	324,316	324,316	2,500
Total	\$ 253,964	\$ 253,133	\$ 321,816	\$ 324,316	\$ 324,316	\$ 2,500
EXPENDITURE DETAIL:						
Personal Services	\$ 107,948	\$ 105,297	\$ 148,201	\$ 148,201	\$ 148,201	\$ 0
Operating Expenses	146,015	147,836	173,615	176,115	176,115	2,500
Total	\$ 253,964	\$ 253,133	\$ 321,816	\$ 324,316	\$ 324,316	\$ 2,500
Staffing Level FTE:	1.9	1.8	2.5	2.5	2.5	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Examination Fees	17,110	18,697	17,000	17,000
Reexamination Fees	44,916	50,744	48,000	48,000
New License Fees	3,625	2,650	3,500	2,800
Renewal Fees	185,440	184,970	193,000	190,000
Interest Income	3,579	4,715	4,000	4,000
Peer Review	5,250	3,600	5,650	5,650
Board Exam Fee	11,310	12,390	12,570	12,570
Name Changes	250	150	100	100
Late Fees	4,600	4,700	5,000	5,000
Legal Recovery cost	1,175	1,450	1,000	1,000
Total	277,255	284,066	289,820	286,120

PERFORMANCE INDICATORS				
Licenses Renewed	1,915	1,925	1,750	1,750
New Licenses	93	70	70	70
Practitioners	1,829	1,818	1,700	1,700
Examinations:				
Nationally Prepared (Times Given)	4	4	4	4
Total Applicants Examined	94	102	90	90
Applicants Passed (Includes Reexams)	63	53	50	50
Score Required for Passing	75%	75%	75%	75%
Complaints:				
Received/Investigated/Resolved	24/24/23	11/10/10	12/12/11	12/12/11
Hearings Held/Pending	1/1	2/0	0/0	0/0
Licensees Reprimanded/Probationed	5/0	3/0	0/0	0/0
Licenses Suspended/Revoked	0/1	0/0	0/0	0/0
No Action Taken Against Licensee	0	0	0	0
Prosecutions	0	0	0	0
Miscellaneous:				
Peer Review	70	48	75	70
Inquiries Received and Answered	7,440	7,440	7,440	7,440
Applicants Denied Licensure	0	1	0	0
Board Meetings Held	8	8	10	9
CPE Audits	112	104	110	110

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1032 Board of Barber Examiners - Info

Mission:

The Board of Barber Examiners protects the health and safety of the consumer public by licensure of qualified persons, licensing and inspection of barbershop facilities, and enforcement of the statutes, rules and regulations governing the practice of barbering including the appropriate resolution of complaints.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	24,780	23,667	29,104	29,104	29,104	0
Total	\$ 24,780	\$ 23,667	\$ 29,104	\$ 29,104	\$ 29,104	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 2,080	\$ 2,172	\$ 2,531	\$ 2,531	\$ 2,531	\$ 0
Operating Expenses	22,700	21,495	26,573	26,573	26,573	0
Total	\$ 24,780	\$ 23,667	\$ 29,104	\$ 29,104	\$ 29,104	\$ 0
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Application Fees	400	300	750	750
Renewal Fees	17,850	16,725	18,000	18,000
Interest Income	520	656	525	655
Reciprocity Fees	1,120	280	1,120	1,120
New Shop Inspection	625	500	625	625
Expired License Fees	120	111	120	120
Restoration Fees	300	252	300	225
Fee for privately owned barber chairs	1,400	1,260	1,420	1,420
Miscellaneous				
Total	22,335	20,084	22,860	22,915

PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	272/7	295/10	270/9	271/8
Examinations:	161	172	170	171
Nationally Prepared (Times Given)	1	0	1	1
Applicants Examined	1	0	1	1
Applicants Passed (Includes Reexams)	1	0	1	1
Inspections	116	93	110	112
Inquiries Received and Answered	510	510	510	510
Board Meetings Held	2	2	2	1

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1033 Cosmetology Commission - Info

Mission:

To ensure the health and safety of our citizens as they use cosmetology, esthetics, and nail technology services.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	271,043	346,084	313,991	315,991	315,991	2,000
Total	\$ 271,043	\$ 346,084	\$ 313,991	\$ 315,991	\$ 315,991	\$ 2,000
EXPENDITURE DETAIL:						
Personal Services	\$ 148,672	\$ 192,457	\$ 191,662	\$ 191,662	\$ 191,662	\$ 0
Operating Expenses	122,371	153,626	122,329	124,329	124,329	2,000
Total	\$ 271,043	\$ 346,084	\$ 313,991	\$ 315,991	\$ 315,991	\$ 2,000
Staffing Level FTE:	3.3	4.0	3.6	3.6	3.6	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Examination Fees	16,240	18,800	17,000	18,000
Reexamination Fees	900	1,900	1,500	2,000
New License Fees (no temp fees)	22,764	23,898	25,000	24,000
Renewal Fees (has dup fees)	190,420	195,130	190,000	195,000
Materials Sold/Miscellaneous	741	179	500	300
Interest Income	3,436	3,837	3,500	3,700
Temporary Licenses	1,224	1,086	1,500	1,300
Certifications	2,500	2,440	2,500	2,500
Reciprocity	11,080	9,900	12,000	11,000
Penalty Fees	36,545	37,475	35,000	36,000
Instructor Seminars & Educational courses	1,375	1,170	1,200	1,200
Total	287,225	295,815	289,700	295,000

PERFORMANCE INDICATORS				
Licenses Renewed/New	7,661/876	7,904/842	7,600/875	7,700/850
Practitioners	5,766	6,014	5,760	6,000
Examinations:				
Nationally Prepared (Times Given)	15	13	15	14
Applicants Examined/Passed	313/298	334/312	325/315	325/315
State Prepared (Times Given)	15	13	15	14
Applicants Examined/Passed	350/343	355/339	350/340	350/340
Applicants Reexamined/Passed	22/18	32/25	25/20	25/20
Complaints (calendar year):				
Received/Investigated/Resolved	17/17/9	21/18/14	12/12/12	15/15/15
Hearings Held/Pending	6/0	3/0	2/0	2/0
Licensees Reprimanded/Probationed	0/0	0/0	0/0	0/0
Licenses Suspended/Revoked	9/2	9/2	10/1	10/1
Inspections/Audits	1,750	1,750	1,750	1,750
Inquiries Received and Answered	17,000	17,150	17,250	17,400
Board Meetings Held	7	10	6	6

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1034 Plumbing Commission - Info

Mission:

To keep the citizens of our state and their property safe from hazards associated with unsafe drinking water and unsafe waste disposal facilities.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	542,716	543,833	625,880	625,880	625,880	0
Total	\$ 542,716	\$ 543,833	\$ 625,880	\$ 625,880	\$ 625,880	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 386,312	\$ 387,380	\$ 423,926	\$ 423,926	\$ 423,926	\$ 0
Operating Expenses	156,404	156,453	201,954	201,954	201,954	0
Total	\$ 542,716	\$ 543,833	\$ 625,880	\$ 625,880	\$ 625,880	\$ 0
Staffing Level FTE:	7.0	7.0	7.0	7.0	7.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Examination Fees	17,750	21,000	19,000	19,000
Reexamination Fees	1,250	100	500	500
New License Fees	14,870	20,840	19,000	19,000
Renewal Fees	290,030	290,400	290,000	290,000
Materials Sold	13,050	16,635	15,000	15,000
Interest Income	1,231	1,544	1,500	1,500
Temporary Licenses	600	200	300	300
License Directories/Seminar Registrations	107	16	50	50
Reciprocity Fees	3,945	9,100	8,000	8,000
Inspection Certificates	16,440	15,730	16,000	16,000
Inspection Fees	249,837	261,015	260,000	260,000
Total	609,110	636,580	629,350	629,350
PERFORMANCE INDICATORS				
Licenses Renewed	2,409	2,364	2,400	2,400
New Licenses	278	462	420	420
Practitioners	2,687	2,826	2,820	2,820
Examinations:				
State Prepared (Times Given)	37	45	35	35
Applicants Examined/Passed	126/101	122/114	120/110	120/110
Applicants Reexamined/Passed	7/5	1/1	7/6	7/6
Complaints:				
Received/Investigated/Resolved	18/18/18	18/18/18	15/15/15	15/15/15
Prosecutions	0	0	0	0
Miscellaneous:				
Inspections	6,401	6,708	6,700	6,700
Inquiries Received and Answered	3,744	4,306	4,300	4,300
Applicants Denied SD Licensure	3	2	3	3
Board Meetings Held	4	4	4	4

LABOR AND REGULATION

1035 Board of Technical Professions - Info

Mission:

To protect the consumer from using the services of unqualified professional engineers, land surveyors, architects, landscape architects, and petroleum release assessors and remediators by the administration of examinations and registrations of qualified people; to promote high levels of professionalism through communication of board activities with registrants and the public; and, to conduct disciplinary actions.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	331,620	231,471	370,287	370,287	370,287	0
Total	\$ 331,620	\$ 231,471	\$ 370,287	\$ 370,287	\$ 370,287	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 155,098	\$ 104,675	\$ 186,576	\$ 186,576	\$ 186,576	\$ 0
Operating Expenses	176,522	126,796	183,711	183,711	183,711	0
Total	\$ 331,620	\$ 231,471	\$ 370,287	\$ 370,287	\$ 370,287	\$ 0
Staffing Level FTE:	2.8	2.2	3.5	3.5	3.5	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Application Fees	73,660	70,450	63,000	70,000
Examination Fees				
Renewal Fees	316,900	234,420	250,000	235,000
Interest Income	6,110	7,984	6,000	7,000
Late Renewal Penalties	18,700	15,500	5,000	15,000
Penalties	7,828	6,585	3,000	3,000
Total	423,198	334,939	327,000	330,000
PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	3,622/613	3,600/597	4,000/600	3,600/600
Examinations:				
Nationally Prepared (Times Given)	164	525	164	344
Applicants Examined/Passed (Includes Reexams)	325/230	387/278	325/230	344/230
State Prepared (Times Given)	5	6	6	6
Applicants Examined/Passed	27/27	15/15	30/30	30/30
Applicants Reexamined/Passed	0/0	0/0	3/3	3/3
Complaints:				
Received/Investigated/Resolved	7/7/4	2/2/2	15/15/10	15/15/10
Hearings Held/Pending	0/1	1/0	2/0	2/0
Licensees Reprimanded/Probationed	3/0	1/0	2/2	2/2
Licenses Suspended/Revoked	0/0	0/0	1/1	1/1
No Action Taken Against Licensee	2	2	10	10
Total Prosecutions	1	0	5	5
Inquiries Received and Answered	2,500	2,500	2,500	3,000
Audits	78	78	78	78
Applicants Denied SD Licensure	26	26	15	20
Board Meetings Held	6	6	6	6

LABOR AND REGULATION

1036 Electrical Commission - Info

Mission:

To keep the citizens of our state and their property safe from the hazards associated with using electricity. The Commission administers the state laws and regulations concerning electrical wiring, inspects wiring installations, investigates complaints related to electrical wiring and licenses all electricians within the state.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	1,495,823	1,621,938	1,691,010	1,691,010	1,691,010	0
Total	\$ 1,495,823	\$ 1,621,938	\$ 1,691,010	\$ 1,691,010	\$ 1,691,010	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 1,066,531	\$ 1,170,167	\$ 1,201,499	\$ 1,201,499	\$ 1,201,499	\$ 0
Operating Expenses	429,292	451,771	489,511	489,511	489,511	0
Total	\$ 1,495,823	\$ 1,621,938	\$ 1,691,010	\$ 1,691,010	\$ 1,691,010	\$ 0
Staffing Level FTE:	18.6	20.4	22.0	22.0	22.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Examination Fees	7,040	8,920	7,000	7,000
Re-examination Fees	1,920	2,200	2,000	2,000
New License Fees	26,950	36,250	18,000	18,000
Renewal Fees	43,005	152,910	35,000	150,000
Miscellaneous Income	608	3,269	5,000	5,000
Interest Income	10,550	14,891	20,000	20,000
Inspection Fees	1,213,704	1,234,976	1,200,000	1,200,000
Wiring Permits	122,124	133,100	120,000	120,000
Reciprocity Fees	7,360	8,400	9,000	9,000
Administrative & Re-instatement Penalty Fees	46,395	39,892	40,000	40,000
Undertaking Fees	4,000	4,785	5,000	5,000
Total	1,483,656	1,639,593	1,461,000	1,576,000

PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	1,133/710	3,480/825	3,000/800	3,000/800
Examinations:	5,609	4,603	5,000	5,000
Applicants Examined/Passed	232/120	299/135	250/100	250/100
Applicants Reexamined/Passed	148/85	124/47	150/75	150/75
Complaints:				
Received/Investigated/Resolved	0/0/0	0/0/0	5/5/5	5/5/5
Hearings Held	0	0	1	1
Inspections	21,505	34,194	25,000	25,000
Audits	0	0	1	1
Applicants Denied SD Licensure	15	14	15	15
Board Meetings Held	2	1	2	2

LABOR AND REGULATION

1037 Real Estate Commission - Info

Mission:

To protect the interest of the public when engaged in a real estate transaction; to enforce standards for education, licensing, practice of real estate brokers, salespersons, auctioneers, property managers, residential rental agents, home inspectors, property managers, timeshare agents, and the registration of condominium, timeshare, and subdivision projects.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	437,694	454,150	579,988	579,988	579,988	0
Total	\$ 437,694	\$ 454,150	\$ 579,988	\$ 579,988	\$ 579,988	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 308,880	\$ 319,093	\$ 348,777	\$ 348,777	\$ 348,777	\$ 0
Operating Expenses	128,814	135,057	231,211	231,211	231,211	0
Total	\$ 437,694	\$ 454,150	\$ 579,988	\$ 579,988	\$ 579,988	\$ 0
Staffing Level FTE:	5.0	5.1	5.0	5.0	5.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Application Fees	77,405	87,845	80,000	85,000
New License Fees	27,503	34,732	30,000	30,000
Renewal Fees	211,740	276,178	220,000	270,000
Materials Sold	13,452	11,090	12,000	12,000
Interest Income	6,632	6,516	6,000	6,000
Changes of Address	9,075	7,980	8,000	8,000
Certificates of Licensure	2,760	2,805	2,600	2,800
Late Renewal Fees	9,170	8,770	9,000	9,000
Penalties Reimbursement of Investigations	4,137	417	4,000	4,000
Seminar Income	58,880	57,740	30,000	
Miscellaneous	29,200	9,020	15,000	15,000
Total	449,954	503,093	416,600	441,800

PERFORMANCE INDICATORS				
Licenses Renewed/New	1,628/326	1,824/400	1,750/350	1,750/350
Practitioners	3,651	3,853	3,800	3,800
Examinations:				
Nationally Prepared (Times Given)	789	921	800	800
Applicants Examined/Passed	345/277	649/439	450/400	450/400
State Prepared (Times Given)	43	51	50	50
Applicants Examined/Passed	34/31	42/39	40/35	40/35
Applicants Reexamined/Passed	235/202	265/219	250/210	250/210
Complaints:				
Received/Investigated/Resolved	74/74/74	99/99/99	85/85/85	85/85/85
Hearings Held/Pending	2/0	0/0	2/0	2/0
Licensees Reprimanded/Probationed	63	90	80	80
Licenses Suspended/Revoked	2	0	2	2
No Action Taken Against Licensee	9	9	10	10
Inspections (condos)	11	8	10	10
Audits	387	189	200	200
Inquiries Received and Answered	59,482	58,982	60,000	60,000
Applicants Denied SD Licensure	7	4	6	6
Board Meetings Held	7	6	8	8

LABOR AND REGULATION

1038 Abstracters Bd of Examiners - Info

Mission:

To issue abstracter's licenses to qualified applicants; to examine and license new title plants and those changing ownership to maintain quality and compliance; to monitor and ensure the quality of services provided by licensees; and to promote continuing education for licensees.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	22,152	26,232	27,529	27,529	27,529	0
Total	\$ 22,152	\$ 26,232	\$ 27,529	\$ 27,529	\$ 27,529	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 15,181	\$ 17,872	\$ 17,908	\$ 17,908	\$ 17,908	\$ 0
Operating Expenses	6,971	8,360	9,621	9,621	9,621	0
Total	\$ 22,152	\$ 26,232	\$ 27,529	\$ 27,529	\$ 27,529	\$ 0
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Examination Fees	900	750	1,200	4,000
Reexamination Fees	3,150	200	3,000	1,000
New License Fees	5,255	3,882	5,000	5,000
Renewal Fees	39,130	37,730	40,000	38,000
Materials Sold	900	1,125	1,000	1,000
Interest Income	1,935	2,894	2,000	2,500
Total	51,270	46,581	52,200	51,500

PERFORMANCE INDICATORS				
Licenses Renewed	80	84	82	87
New Licenses	2	3	2	2
Practitioners	227	200	200	200
Examinations:				
State Prepared (Times Given)	3	3	3	3
Applicants Examined	18	29	25	30
Applicants Reexamined	14	8	20	10
Complaints:				
Received/Investigated/Resolved	1/1/1	0/0/0	2/2/2	1/1/1
Hearings Held	0	0	0	0
Miscellaneous:				
Inspections	8	0	3	2
Inquiries Received and Answered	110	100	125	100
Board Meetings Held	3	3	3	3

LABOR AND REGULATION

1039 South Dakota Athletic Commission - Info

Mission:

To minimize injury risk and promote safety, to the extent possible, for all participants in the boxing, kickboxing and mixed martial arts competitions through the enforcement of statutes and rules to regulate such events.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	53,223	40,099	55,752	55,752	55,752	0
Total	\$ 53,223	\$ 40,099	\$ 55,752	\$ 55,752	\$ 55,752	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 9,731	\$ 4,288	\$ 8,370	\$ 8,370	\$ 8,370	\$ 0
Operating Expenses	43,492	35,811	47,382	47,382	47,382	0
Total	\$ 53,223	\$ 40,099	\$ 55,752	\$ 55,752	\$ 55,752	\$ 0
Staffing Level FTE:	0.1	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Event Fee	15,160	9,664	175,000	25,000
Promoter License	1,800	1,500	1,500	1,500
Matchmaker License		200	200	200
Manager License		100	100	100
Contestant Registration	3,600	5,000	5,000	5,000
Judge Registration	800	500	500	500
Referee Registration	400	500	500	500
Second Registration	2,670	2,500	2,500	2,500
Timekeeper Registrations	50	125	125	125
Interest Income	583	600	600	600
Federal ID Fee	25	25	25	25
Amateur Exemption Fee	100	100	100	100
Total	25,188	20,814	186,150	36,150

PERFORMANCE INDICATORS				
Events monitored	4	4	8	8
Promoters Licensed	6	3	5	5
Contestant Registrations	72	94	100	100
Matchmakers	0	1	1	1
Managers	0	1	1	1
Judges	16	13	10	10
Referees	8	4	10	10
Timekeepers	2	1	5	5
Second Registrations	106	115	100	100
Amateur Association Exemption Fee	1	1	1	1
Federal ID applicant	1	0	1	1

LABOR AND REGULATION

10610 Banking

Mission:

To charter, license, regulate, supervise and provide guidance to South Dakota financial entities in order to instill consumer confidence, protect consumer interests and promote economic stability through a common sense, efficient and risk-focused approach.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	2,454,600	2,735,207	3,205,688	3,205,688	3,205,688	0
Total	\$ 2,454,600	\$ 2,735,207	\$ 3,205,688	\$ 3,205,688	\$ 3,205,688	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 1,941,062	\$ 2,105,443	\$ 2,557,943	\$ 2,557,943	\$ 2,557,943	\$ 0
Operating Expenses	513,538	629,764	647,745	647,745	647,745	0
Total	\$ 2,454,600	\$ 2,735,207	\$ 3,205,688	\$ 3,205,688	\$ 3,205,688	\$ 0
Staffing Level FTE:	23.8	26.6	29.5	29.5	29.5	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Mortgage Lender Renewal and Application	155,700	159,050	150,000	150,000
Banking Revolving Fund:				
Bank Examination Fee	1,524,989	847,321	1,500,000	1,500,000
Trust Company Examination Fee	350,000	290,537	300,000	300,000
Money Lenders Renewal and Applications	94,250	436,000	400,000	400,000
Money Transmitter Renewal and Application	21,500	91,500	90,000	90,000
Mortgage Broker Renewal and Application	8,820	7,390	7,000	7,000
Mortgage Loan Originator Renewal and Application	220,975	295,335	200,000	200,000
Trust Company Supervision Fee	700,383	812,284	650,000	650,000
Investment Council Interest	43,259	70,462	40,000	40,000
Other Banks and Loans	1,601	801	1,000	1,000
Miscellaneous (Transfer In)	15,725	8,255		
Trust Company Charter Fees (General Fund)	25,000		20,000	20,000
Total	3,162,202	3,018,935	3,358,000	3,358,000

PERFORMANCE INDICATORS				
Institutions Examined:				
Money Lenders (on-site)	10	19	10	10
Banks	20	16	18	18
Trust Companies	24	34	35	35
Licenses Issued or Renewed:				
Money Lenders/Money Orders	439/60	458/77	400/70	400/70
Mortgage Lenders/Brokers	261/14	246/17	240/15	240/15
Mortgage Loan Originator	1,850	2,542	2,000	2,000
Charters Cancelled: Banks/Bank Branches	1/1	3/11	2/2	2/2
Total Assets of Banks Supervised	\$23,833,000,000	\$25,293,667,000	\$25,000,000,000	\$25,000,000,000
Total Assets of Trust Companies Supervised	\$175,133,826,000	\$226,054,306,000	\$250,000,000,000	\$275,000,000,000

LABOR AND REGULATION

10612 Trust Captive Insurance Company - Info

Mission:

To provide insurance coverage for the potential administrative and examination costs associated with the failure of a trust company regulated in South Dakota.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	0	0	273,811	273,811	273,811	0
Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 273,811</u>	<u>\$ 273,811</u>	<u>\$ 273,811</u>	<u>\$ 0</u>
EXPENDITURE DETAIL:						
Personal Services	\$ 0	\$ 0	\$ 15,000	\$ 15,000	\$ 15,000	\$ 0
Operating Expenses	0	0	258,811	258,811	258,811	0
Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 273,811</u>	<u>\$ 273,811</u>	<u>\$ 273,811</u>	<u>\$ 0</u>
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

LABOR AND REGULATION

1062 Securities

Mission:

To protect investors of securities products, franchises and business opportunities by investigating complaints, conducting examinations, enforcing anti-fraud provisions, ensuring investments sold meet standards of full disclosure and providing investor education.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	453,308	452,326	541,764	541,764	433,810	(107,954)
Total	\$ 453,308	\$ 452,326	\$ 541,764	\$ 541,764	\$ 433,810	(\$ 107,954)
EXPENDITURE DETAIL:						
Personal Services	\$ 365,518	\$ 373,764	\$ 441,711	\$ 441,711	\$ 336,307	(\$ 105,404)
Operating Expenses	87,790	78,561	100,053	100,053	97,503	(2,550)
Total	\$ 453,308	\$ 452,326	\$ 541,764	\$ 541,764	\$ 433,810	(\$ 107,954)
Staffing Level FTE:	5.0	5.2	5.7	5.7	5.7	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Investment Companies Notification Fees	22,886,950	23,605,650	28,681,650	29,542,000
Securities Registration Fees	70,713	42,840	44,000	45,000
Broker-Dealer Licensing Fees	244,550	192,600	198,380	204,330
Agent Licensing Fees	13,285,875	14,065,775	14,487,750	14,922,380
Name Change Filing Fees	112,550	110,600	114,000	117,000
Extension of Registration Fees	6,350	5,900	6,000	6,000
Investment Adviser Agent Fees	99,750	105,650	109,000	112,000
Annual Report Filing Fees	300	400	400	400
REG D-506 Filing Fees	102,200	113,825	117,000	121,000
Franchise Registration Fees	54,000	54,400	56,000	58,000
Franchise Amendment Fees	4,350			
Franchise Annual Report Fees	94,050	100,100	103,000	106,000
Securities Opinion Fees	100	850	1,000	1,000
Investment Adviser Fees	4,900	4,700	5,000	5,000
Business Opportunity Filing Fees	100	100	100	100
Private Placement Filing Fees	200	2,450	3,000	3,000
Church Extension Bond Filing Fees	5,600	5,000	5,000	5,000
I/A Notice Filing Fee	235,000	382,575	394,000	406,000
Model Accredited Filing Fees	200	200	200	200
Reg A Tier II Filing Fees		1,000	2,000	3,000
Fines	76,375	70,001	72,000	74,000
Investment Council Interest	37,360	43,191	44,000	45,000
Miscellaneous	1,690	8,020	8,000	8,000
Total	37,323,163	38,915,827	44,451,480	45,784,410

PERFORMANCE INDICATORS				
New Securities Applications	46	32	50	55
Extensions and Amendments	65	57	65	65
Private Placement/Other Exemptions	1/361	1/420	2/370	2/375
Invest. Comp. Notice Filings--New/Total	3,909/26,095	3,495/26,997	3,500/25,500	3,750/25,500
New Franchise Applications/Registrations	213/651	227/654	235/675	245/700
Franchise Exemptions	0	0	0	0
Business Opportunities--New/Total	0/2	0/2	0/2	0/2
Brokers-Dealers/B-D Agents Licensed	1,243/89,810	1,235/94,433	1,250/95,000	1,275/95,500
Investment Advisers/IA Agents Licensed	45/1,735	45/1,829	55/1,800	55/1,850
Investment Advisers Notice Filing	819	823	850	875
Franchise Applications Withdrawn	181	192	185	185
Investigations	84	88	125	125
Administrative Orders Issued	30	54	50	50

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
PERFORMANCE INDICATORS				
Opinions Requested	3	5	5	5
Transfers to General Fund (SDCL 4-4-4.4)	\$36,856,221	\$38,448,476	\$43,895,837	\$45,212,105

LABOR AND REGULATION

1063 Insurance

Mission:

To protect the public and make insurance available and affordable by efficiently providing quality assistance, providing fair regulation for industry, and promoting a healthy, competitive insurance market.

	ACTUAL FY 2015	ACTUAL FY 2016	BUDGETED FY 2017	REQUESTED FY 2018	GOVERNOR'S RECOMMENDED FY 2018	RECOMMENDED INC/(DEC) FY 2018
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	273,384	535,369	40,482	40,482	40,482	0
Other Funds	2,122,826	2,284,114	2,912,298	2,912,298	2,912,298	0
Total	\$ 2,396,210	\$ 2,819,483	\$ 2,952,780	\$ 2,952,780	\$ 2,952,780	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 1,792,641	\$ 1,865,363	\$ 2,201,979	\$ 2,201,979	\$ 2,201,979	\$ 0
Operating Expenses	603,569	954,120	750,801	750,801	750,801	0
Total	\$ 2,396,210	\$ 2,819,483	\$ 2,952,780	\$ 2,952,780	\$ 2,952,780	\$ 0
Staffing Level FTE:	29.6	31.0	32.0	32.0	32.0	0.0

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
REVENUES				
Taxes Collected (General Fund)	79,706,674	84,541,512	85,750,000	87,000,000
Fees (Insurance Operating Fund):				
Admission	92,020	76,488	70,000	70,000
Company Renewal	81,235	81,480	83,000	83,000
Exam Fees	15,440	15,160	15,000	15,000
Miscellaneous and Legal	8,399	7,949	9,000	9,000
Retaliatory/Filing	1,442,179	1,172,200	1,200,000	1,200,000
Administrative Penalties	1,252,694	554,577	500,000	500,000
Lists and Labels	1,180	270	500	500
Certification Letters	4,160	3,860	3,500	3,500
Investment Council Interest	16,335	19,626	21,000	20,000
Course Approval	18,675	16,475	17,000	17,000
Company Supervision	51,000	94,500	75,000	75,000
Subsequent Injury Fund:				
Sub-Injury Fund Assessment	7,708	3,492,914		2,500,000
Investment Council Interest	14,855	21,208	15,000	20,000
Continuing Education Fund:				
Agent Renewal Fees (Biennial renewal)	73,980	37,270	60,000	35,000
Special Collections for Workers Comp:				
Policy Fee (Transferred to Dept. of Labor)	274,331	277,871	260,000	260,000
Examination Fund (Effective 7-1-97)	1,359,000	1,342,000	1,350,000	1,350,000
Total	84,419,865	91,755,360	89,429,000	93,158,000

PERFORMANCE INDICATORS				
Total Licensed/Domestic Companies	1,416/70	1,385/73	1,385/70	1,385/70
Domestic Companies Financial Exams In Progress	3	5	5	5
Domestic Companies Financial Exams Closed	10	3	5	5
Company Market Conduct Exams In Progress	6	2	2	2
Company Market Conduct Exams Closed	0	5	2	2
Companies Licensed/Approved Mergers	28/16	17/11	15/10	15/10
Agent Licenses Issued	15,199	16,614	14,000	14,000
Agent Appointments Issued	63,892	81,665	65,000	65,000
Agent Licenses Renewed	23,070	28,827	24,000	24,000
Renewed Appointments	203,150	197,247	200,000	200,000
Agent Appointment Cancellations	58,362	70,482	60,000	60,000
Property/Casualty Filings Reviewed	7,599	6,046	7,000	7,000
Life/Health Filings Reviewed	3,372	2,828	3,300	3,300
Consumer Complaints Closed	644	742	675	675

	ACTUAL FY 2015	ACTUAL FY 2016	ESTIMATED FY 2017	ESTIMATED FY 2018
PERFORMANCE INDICATORS				
Enforcement/Closed Files	1,154	1,195	1,000	1,000
Continuing Education:				
Agents Paying License Renewal	3,422	1,699	3,400	1,600
Agents Exempt	311	305	305	305
Courses Reviewed	747	670	700	700
Transfer to General Fund (SDCL 4-4-4.4)	\$8,896,330	\$7,180,551	\$6,896,702	\$6,895,702
Subsequent Injury Fund:				
New Claims	3	3	2	2
Claims Paid	72	65	70	70
Dollars Paid	\$1,949,304	\$1,725,509	\$1,600,000	\$1,600,000