

LABOR AND REGULATION

10 LABOR AND REGULATION

Mission:

The mission of the Department of Labor and Regulation is to promote economic opportunity and financial security for individuals and businesses through quality, responsive and expert services; fair and equitable employment solutions; and safe and sound business practices.

LEGAL CITATION: The Department of Labor and Regulation is structured by virtue of Executive Order 2011-01. SDCL 1-37 established the secretary as the department head. Laws governing department divisions are: SDCL 47-31B; SDCL 51A-2; SDCL 58-2; SDCL 60-1 through 60-6, 60-6A, 60-9, 60-9A, 60-10 through 60-12, 3-18, 3-12, 3-12A, 3-12A, and 1-35-8; and SDCL Titles 61 and 62.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 1,587,727	\$ 2,039,124	\$ 1,941,018	\$ 1,941,018	\$ 1,941,018	\$ 0
Federal Funds	25,202,826	25,165,426	30,519,138	30,459,258	30,459,258	(59,880)
Other Funds	9,595,634	11,010,445	11,657,442	12,400,695	12,400,695	743,253
Total	\$ 36,386,187	\$ 38,214,995	\$ 44,117,598	\$ 44,800,971	\$ 44,800,971	\$ 683,373
EXPENDITURE DETAIL:						
Personal Services	\$ 22,077,500	\$ 23,854,572	\$ 26,550,109	\$ 27,183,128	\$ 27,183,128	\$ 633,019
Operating Expenses	14,308,687	14,360,423	17,567,489	17,617,843	17,617,843	50,354
Total	\$ 36,386,187	\$ 38,214,995	\$ 44,117,598	\$ 44,800,971	\$ 44,800,971	\$ 683,373
Staffing Level FTE:	393.1	396.3	419.3	425.3	425.3	6.0

LABOR AND REGULATION

1001 Secretariat Administration

Mission:

To improve the administration of and provide centralized support services for the Department of Labor and Regulation's programs and occupational licensing boards and commissions; to develop a skilled workforce through job training and employment services; to collect, analyze, and provide labor market information; to certify, license, and register real estate appraisers; and to provide integrated financial, legal, and public affairs support across the department.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 605,033	\$ 658,473	\$ 558,543	\$ 558,543	\$ 558,543	\$ 0
Federal Funds	9,264,594	9,033,539	11,231,038	11,231,038	11,231,038	0
Other Funds	212,306	221,992	301,657	313,685	313,685	12,028
Total	\$ 10,081,933	\$ 9,914,004	\$ 12,091,238	\$ 12,103,266	\$ 12,103,266	\$ 12,028
EXPENDITURE DETAIL:						
Personal Services	\$ 3,010,441	\$ 3,267,085	\$ 3,495,863	\$ 3,507,891	\$ 3,507,891	\$ 12,028
Operating Expenses	7,071,492	6,646,919	8,595,375	8,595,375	8,595,375	0
Total	\$ 10,081,933	\$ 9,914,004	\$ 12,091,238	\$ 12,103,266	\$ 12,103,266	\$ 12,028
Staffing Level FTE:	51.0	51.9	52.5	52.6	52.6	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Appraiser Certification:				
New Application Fees	7,710	5,735	5,000	5,000
Renewal Fees	122,440	122,900	122,000	122,000
Investment Council Interest	1,687	3,506	3,500	3,500
Risk Retention Group Lic	1,770	3,010	3,000	3,000
Reciprocity Fees	8,765	10,500	9,000	9,000
Temporary Fees	25,800	23,200	20,000	20,000
Penalty/Discipline Fees	8,800	4,200	4,500	4,500
Course Fees	5,800	7,200	7,000	7,000
Penalty/Renewals	540	425	400	400
7 hour USPAP Course Penalty	1,800	200	200	200
Contested Case Assessment	4,751	2,333	2,000	2,000
Supervisor/Trainee Applications	450	900	700	700
Supervisor Renewal	4,600	5,000	4,500	4,500
New Application Fees	9,000	6,050	3,000	3,000
License Renewal Fees	73,500	70,500	70,000	70,000
Late Renewal Fees	50	300	200	200
Investment Council Interest	2,997	2,222	2,222	2,222
Total	280,460	268,181	257,222	257,222

PERFORMANCE INDICATORS

State Labor Force	451,592	455,475	457,539	460,149
Employed Labor Force	438,811	442,284	443,739	446,649
Unemployed Labor Force	12,781	13,192	13,800	13,500
Unemployment Rate	2.8%	2.9%	3.0%	2.9%
Adult Basic Education ABE/GED Participants	2,504	2,755	2,800	2,850
Appraisers--New/Renewed Licenses	13/374	19/378	19/378	19/378
Complaints Received (Appraisers)	7	13	10	10
Upgrade/New Application Reviews	12/3	10/1	10/1	10/1
Reciprocity/Temporary	23/129	24/116	24/116	24/116
Course Applications	116	144	120	120
Supervisor/Trainees (New/Renewed)	3/46	2/48	2/48	2/48
Appraisal Management new applications	9	7	5	5

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Appraisal Management renewals	94	95	95	95

LABOR AND REGULATION

1004 Unemployment Insurance Service

Mission:

To provide economic support to workers and protect the interest of workers and businesses by determining UI eligibility and liability, collecting taxes, making payments and ensuring compliance all through exceptional service.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 150,000	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	5,773,138	5,448,959	6,814,026	6,814,026	6,814,026	0
Other Funds	0	0	0	0	0	0
Total	\$ 5,923,138	\$ 5,448,959	\$ 6,814,026	\$ 6,814,026	\$ 6,814,026	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 3,651,430	\$ 3,628,381	\$ 4,178,467	\$ 4,178,467	\$ 4,178,467	\$ 0
Operating Expenses	2,271,708	1,820,577	2,635,559	2,635,559	2,635,559	0
Total	\$ 5,923,138	\$ 5,448,959	\$ 6,814,026	\$ 6,814,026	\$ 6,814,026	\$ 0
Staffing Level FTE:	70.2	64.8	74.0	74.0	74.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Applications for Benefits	14,122	14,618	14,600	14,500
Number of Weekly Payments	80,809	89,579	89,000	88,000
Average Weekly Payment	\$312	\$324	\$338	\$350
Average Number of Weekly Payments	13.7	13.7	13.7	13.7
Average Benefit Payment	\$4,001	\$4,378	\$4,510	\$4,620
Individuals Receiving Payments	5,881	6,519	6,500	6,500
% of First Payments Made Within 14 Days	96.0%	95.8%	95.8%	95.8%
Total Dollars Paid*	\$24,007,476	\$28,245,468	\$29,800,000	\$30,000,000
Fed. Claims Reimbursed by Fed. Government	\$1,606,125	\$1,709,616	\$1,800,000	\$1,800,000
State/Nonprofit Claims Reimbursed by Employer	\$1,791,475	\$2,250,115	\$2,300,000	\$2,300,000
Number of Covered Employers	27,248	27,517	27,800	28,100
UI Taxes Paid	\$36,668,614	\$38,153,514	\$35,300,000	\$32,400,000
Trust Fund Balance	\$102,764,413	\$113,566,320	\$122,100,000	\$126,900,000

* Does not include Federal programs and fund transfers between states for interstate claims.

LABOR AND REGULATION

1005 Field Operations

Mission:

To achieve a skilled workforce contributing to economic development by efficiently and respectfully serving businesses, job seekers, and community partners through innovative workforce development solutions and serving as an information resource.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 162,991	\$ 655,578	\$ 656,238	\$ 656,238	\$ 656,238	\$ 0
Federal Funds	9,491,055	10,555,045	12,075,880	12,075,880	12,075,880	0
Other Funds	0	0	0	0	0	0
Total	\$ 9,654,046	\$ 11,210,623	\$ 12,732,118	\$ 12,732,118	\$ 12,732,118	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 7,921,767	\$ 8,785,311	\$ 10,045,028	\$ 10,045,028	\$ 10,045,028	\$ 0
Operating Expenses	1,732,279	2,425,312	2,687,090	2,687,090	2,687,090	0
Total	\$ 9,654,046	\$ 11,210,623	\$ 12,732,118	\$ 12,732,118	\$ 12,732,118	\$ 0
Staffing Level FTE:	154.9	159.5	166.0	166.0	166.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Total SDWORKS Participants	54,543	45,881	47,000	48,000
Job Orders Received by DLR Internally+	46,086	39,737	37,000	35,000
Job Orders Received by DLR Externally+	N/A	5,000	20,000	20,000
Wagner-Peyser Employment Services (ES) Entered Employment (Unduplicated)*	21,671	22,000	22,000	22,000
ES Employment Retention Rate*	84%	82%	82%	82%
ES Entered Employment Rate*	67%	58%	58%	58%
Workforce Innovation & Opportunity Act (WIOA) Participants Adult/Dislocated/Youth	625/222/344	516/93/225	700/250/350	700/250/350
WIOA Adult Entered Employment Rate*	78%	73%	73%	73%
WIOA Youth Employment/Education Rate*	70%	47%	49%	49%
WIOA Dislocated Worker Entered Emp. Rate*	86%	84%	84%	84%
WIOA Adult Retention Rate*	86%	86%	86%	86%
TANF Recipients Served/Work Activity	1,449/2,954	1,088/2,351	1,250/2,500	1,250/2,500
TANF Avg Statewide Participation Rate (FFY)	50%	50%	50%	50%
SNAP Recipients Served/Work Activity	8,063/4,008	8,095/3,564	4,000/2,000	4,000/2,000
Eligible Veteran Participants	347	373	400	400
UI Recipients Referred to Reemploy. Svcs	2,805	3,704	3,500	3,500
Dakota Roots Participants Entered Empl.~	342	242	350	350

+Job Orders Received split into two performance indicators, internal and external, starting in April 2017.

*New reporting requirements passed under the Workforce Innovation and Opportunity Act (WIOA) were implemented in FY2017, resulting in a delay in receiving final performance measurements per the new standards. Actual FY2017 amounts will be updated as they become available.

~Reported by calendar year

LABOR AND REGULATION

1006 State Labor Law Administration

Mission:

To responsibly provide dispute resolution and help people through investigations, enforcement, compliance, and education of workforce and discrimination law.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 669,703	\$ 725,073	\$ 726,237	\$ 726,237	\$ 726,237	\$ 0
Federal Funds	138,670	72,356	357,712	297,832	297,832	(59,880)
Other Funds	371,074	476,811	501,606	501,606	501,606	0
Total	\$ 1,179,446	\$ 1,274,239	\$ 1,585,555	\$ 1,525,675	\$ 1,525,675	(\$ 59,880)
EXPENDITURE DETAIL:						
Personal Services	\$ 845,890	\$ 855,245	\$ 1,180,587	\$ 1,120,707	\$ 1,120,707	(\$ 59,880)
Operating Expenses	333,556	418,995	404,968	404,968	404,968	0
Total	\$ 1,179,446	\$ 1,274,239	\$ 1,585,555	\$ 1,525,675	\$ 1,525,675	(\$ 59,880)
Staffing Level FTE:	13.7	12.9	16.0	15.3	15.3	(0.7)

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Workers' Compensation (WC) Self-Insurance				
Application Fees	20,000	18,000	24,000	24,000
WC Insurance Policy Fees	277,871	270,214	270,000	270,000
WC Managed Care Plan Fees	2,750	2,500	2,750	2,750
Interest Income	15,008	15,789	15,000	15,000
First Report Late Filing Fines	38,140	41,200	40,000	40,000
Total	353,769	347,703	351,750	351,750

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Collective Bargaining Filings of Petitions for Hearing	25	30	25	25
Collective Bargaining Petitions Settled or Dismissed Prior to Hearing	3	2	3	3
Hearings Held to Arbitrate, Mediate, or Conduct Collective Bargaining Matters and Render Decisions	22	16	15	15
Wage Inquiries/Wage Law Complaints Filed	6,000/250	6,100/300	6,100/250	6,100/250
Private Industry Employees Affected by WC	350,300	355,800	360,000	365,000
Private Industry WC First Reports of Injury	18,543	17,416	17,500	17,500
New Filings of Private Industry WC Petitions	183	145	140	140
Private Industry WC Claims Settled or Dismissed Prior to Hearing	166	129	130	130
Private Industry WC Hrng Petitions Pending	365	381	391	391
Private Industry WC Claims Resulting in a Formal Hearing	10	1	5	5
Hearings Held to Mediate WC Matters	34	39	35	35
UI Appeals Filings of Petitions for Hearing	888	914	900	900
UI Appeals Resulting in Final Order of Decision	901	925	900	900
UI Appeals Pending	38	42	40	40
Human Rights Charges Received/Conciliated	315/1	259/2	260/2	260/2
Human Rights Case Closures	58	46	50	50
Human Rights Unsuccessful Conciliations	2	1	2	2
WC Independent Contractor Applications	165	351	170	170
WC Agreements Reviewed/Approved	669/662	698/542	700/600	700/600

LABOR AND REGULATION

1031 Board of Accountancy - Info

Mission:

To protect the citizens of South Dakota from receiving inadequate accounting services by licensing qualified accountant applicants, monitoring continuing professional education and annual reporting requirements, and enforcing statutes and rules promulgated to regulate the practice of public accountancy.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	253,133	256,744	324,492	330,380	330,380	5,888
Total	\$ 253,133	\$ 256,744	\$ 324,492	\$ 330,380	\$ 330,380	\$ 5,888
EXPENDITURE DETAIL:						
Personal Services	\$ 105,297	\$ 115,829	\$ 148,416	\$ 154,304	\$ 154,304	\$ 5,888
Operating Expenses	147,836	140,914	176,076	176,076	176,076	0
Total	\$ 253,133	\$ 256,744	\$ 324,492	\$ 330,380	\$ 330,380	\$ 5,888
Staffing Level FTE:	1.8	1.8	2.5	2.6	2.6	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Examination Fees	18,697	22,269	17,000	20,000
Reexamination Fees	50,744	51,231	48,000	49,000
New License Fees	2,650	3,600	2,800	3,000
Renewal Fees	184,970	205,070	190,000	195,000
Interest Income	4,715	5,466	4,000	4,000
Peer Review	3,600	5,175	5,650	4,000
Board Exam Fee	12,390	11,980	12,570	12,000
Name Changes	150	275	100	100
Late Fees	4,700	4,350	5,000	4,500
Legal Recovery cost	1,450	1,050	1,000	1,000
Total	284,066	310,466	286,120	292,600

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed	1,925	1,995	1,750	1,800
New Licenses	70	81	70	70
Practitioners	1,818	1,890	1,700	1,750
Examinations:				
Nationally Prepared (Times Given)	4	4	4	4
Total Applicants Examined	102	100	90	95
Applicants Passed (Includes Reexams)	53	59	50	50
Score Required for Passing	75%	75%	75%	0
Complaints:				
Received/Investigated/Resolved	11/10/10	18/18/8	12/12/11	12/12/11
Hearings Held/Pending	2/0	0/0	0/0	0/0
Licensees Reprimanded/Probationed	3/0	4/0	0/0	0/0
Licenses Suspended/Revoked	0/0	0/0	0/0	0/0
No Action Taken Against Licensee	0	0	0	0
Prosecutions	0	0	0	0
Miscellaneous:				
Peer Review	48	69	70	55
Inquiries Received and Answered	7,440	7,440	7,440	7,440
Applicants Denied Licensure	1	0	0	0
Board Meetings Held	8	9	9	10
CPE Audits	104	104	110	110

LABOR AND REGULATION

1032 Board of Barber Examiners - Info

Mission:

The Board of Barber Examiners protects the health and safety of the consumer public by licensure of qualified persons, licensing and inspection of barbershop facilities, and enforcement of the statutes, rules and regulations governing the practice of barbering including the appropriate resolution of complaints.

FUNDING SOURCE:	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	23,667	27,911	29,098	31,062	31,062	1,964
Total	\$ 23,667	\$ 27,911	\$ 29,098	\$ 31,062	\$ 31,062	\$ 1,964
EXPENDITURE DETAIL:						
Personal Services	\$ 2,172	\$ 4,363	\$ 2,531	\$ 4,495	\$ 4,495	\$ 1,964
Operating Expenses	21,495	23,548	26,567	26,567	26,567	0
Total	\$ 23,667	\$ 27,911	\$ 29,098	\$ 31,062	\$ 31,062	\$ 1,964
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Application Fees	300	200	200	200
Renewal Fees	16,725	20,100	20,625	20,625
Interest Income	656	669	680	680
Reciprocity Fees	280	915	1,050	1,050
New Shop Inspection	500	1,000	625	625
Expired License Fees	111	96	96	96
Restoration Fees	252	180	225	225
Fee for privately owned barber chairs	1,260	1,570	1,570	1,570
Miscellaneous				
Total	20,084	24,730	25,071	25,071

PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	295/10	306/6	302/10	302/10
Examinations:				
Nationally Prepared (Times Given)	0	0	0	0
Applicants Examined	0	0	0	0
Applicants Passed (Includes Reexams)	0	0	0	0
Inspections	93	94	93	93
Inquiries Received and Answered	510	510	510	510
Board Meetings Held	2	3	2	2

LABOR AND REGULATION

1033 Cosmetology Commission - Info

Mission:

To ensure the health and safety of our citizens as they use cosmetology, esthetics, and nail technology services.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	346,084	331,351	316,243	324,878	324,878	8,635
Total	\$ 346,084	\$ 331,351	\$ 316,243	\$ 324,878	\$ 324,878	\$ 8,635
EXPENDITURE DETAIL:						
Personal Services	\$ 192,457	\$ 203,390	\$ 191,969	\$ 200,604	\$ 200,604	\$ 8,635
Operating Expenses	153,626	127,961	124,274	124,274	124,274	0
Total	\$ 346,084	\$ 331,351	\$ 316,243	\$ 324,878	\$ 324,878	\$ 8,635
Staffing Level FTE:	4.0	4.1	3.6	3.7	3.7	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Examination Fees	18,800	15,200	18,000	16,000
Reexamination Fees	1,900	1,305	2,000	1,500
New License Fees (no temp fees)	23,898	27,557	24,000	28,000
Renewal Fees (has dup fees)	195,130	213,221	195,000	210,000
Materials Sold/Miscellaneous	179	481	300	500
Interest Income	3,837	3,768	3,700	3,800
Temporary Licenses	1,086	1,776	1,300	1,800
Certifications	2,440	1,980	2,500	2,000
Reciprocity	9,900	11,800	11,000	12,000
Penalty Fees	37,475	30,820	36,000	32,000
Total	294,645	307,908	293,800	307,600

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	7,904/842	8,843/886	7,700/850	8,500/825
Examinations:				
Nationally Prepared (Times Given)	13	11	14	12
Applicants Examined/Passed	334/312	308/296	325/315	310/300
State Prepared (Times Given)	13	11	14	12
Applicants Examined/Passed	355/339	340/331	350/340	340/330
Applicants Reexamined/Passed	32/25	40/36	25/20	40/35
Complaints (calendar year):				
Received/Investigated/Resolved	21/18/14	43/43/29	15/15/15	30/30/27
Hearings Held/Pending	3/0	1/0	2/0	2/0
Licensees Reprimanded/Probationed	0/0	1/0	0/0	0/0
Licenses Suspended/Revoked	9/2	12/0	10/1	12/0
Inspections/Audits	1,750	1,775	1,750	1,800
Inquiries Received and Answered	17,150	17,500	17,400	17,700
Board Meetings Held	10	8	6	6

LABOR AND REGULATION

1034 Plumbing Commission - Info

Mission:

To keep the citizens of our state and their property safe from hazards associated with unsafe drinking water and unsafe waste disposal facilities.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	543,833	660,628	626,449	629,786	629,786	3,337
Total	\$ 543,833	\$ 660,628	\$ 626,449	\$ 629,786	\$ 629,786	\$ 3,337
EXPENDITURE DETAIL:						
Personal Services	\$ 387,380	\$ 445,871	\$ 424,528	\$ 427,865	\$ 427,865	\$ 3,337
Operating Expenses	156,453	214,757	201,921	201,921	201,921	0
Total	\$ 543,833	\$ 660,628	\$ 626,449	\$ 629,786	\$ 629,786	\$ 3,337
Staffing Level FTE:	7.0	7.0	7.0	7.1	7.1	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Examination Fees	21,000	15,500	15,500	15,500
Reexamination Fees	100	300	300	300
New License Fees	20,840	29,540	29,500	29,500
Renewal Fees	290,400	289,665	289,000	289,000
Materials Sold	16,635	73,831	15,000	15,000
Interest Income	1,544	2,484	2,400	2,400
Temporary Licenses	200	300	300	300
License Directories/Seminar Registrations	16	50	50	50
Reciprocity Fees	9,100	11,970	11,900	11,900
Inspection Certificates	15,730	16,050	16,000	16,000
Inspection Fees	261,015	264,070	264,000	264,000
Misc Income		160		
Total	636,580	703,920	643,950	643,950

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed	2,364	2,394	2,350	2,350
New Licenses	462	418	420	420
Practitioners	2,826	2,792	2,750	2,750
Examinations:				
State Prepared (Times Given)	45	47	45	45
Applicants Examined/Passed	122/114	148/141	130/120	130/120
Applicants Reexamined/Passed	1/1	3/3	3/3	3/3
Complaints:				
Received/Investigated/Resolved	18/18/18	18/18/18	15/15/15	15/15/15
Prosecutions	0	0	0	0
Miscellaneous:				
Inspections	6,708	6,601	6,600	6,600
Inquiries Received and Answered	4,306	3,708	3,700	3,700
Applicants Denied SD Licensure	2	3	3	3
Board Meetings Held	4	4	4	4

LABOR AND REGULATION

1035 Board of Technical Professions - Info

Mission:

To protect the consumer from using the services of unqualified professional engineers, land surveyors, architects, landscape architects, and petroleum release assessors and remediators by the administration of examinations and registrations of qualified people; to promote high levels of professionalism through communication of board activities with registrants and the public; and, to conduct disciplinary actions.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	231,471	786,327	370,547	382,321	382,321	11,774
Total	\$ 231,471	\$ 786,327	\$ 370,547	\$ 382,321	\$ 382,321	\$ 11,774
EXPENDITURE DETAIL:						
Personal Services	\$ 104,675	\$ 159,439	\$ 186,877	\$ 198,651	\$ 198,651	\$ 11,774
Operating Expenses	126,796	626,888	183,670	183,670	183,670	0
Total	\$ 231,471	\$ 786,327	\$ 370,547	\$ 382,321	\$ 382,321	\$ 11,774
Staffing Level FTE:	2.2	3.2	3.5	3.6	3.6	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Application Fees	70,450	67,425	70,000	70,000
Renewal Fees	234,420	326,080	235,000	250,000
Interest Income	7,984	9,760	7,000	7,000
Late Renewal Penalties	15,500	15,300	15,000	10,000
Penalties	6,585	102,175	3,000	3,000
Total	334,939	520,740	330,000	340,000

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	3,600/597	4,277/550	3,600/600	4,000/600
Examinations:				
Nationally Prepared (Times Given)	525	780	344	500
Applicants Examined/Passed (Includes Reexams)	387/278	394/262	344/230	325/230
State Prepared (Times Given)	6	6	6	6
Applicants Examined/Passed	15/15	16/16	30/30	30/30
Applicants Reexamined/Passed	0/0	0/0	3/3	3/3
Complaints:				
Received/Investigated/Resolved	2/2/2	2/2/2	15/15/10	15/15/10
Hearings Held/Pending	1/0	2/0	2/0	1/0
Licensees Reprimanded/Probationed	1/0	2/0	2/2	2/2
Licenses Suspended/Revoked	0/0	0/0	1/1	1/1
No Action Taken Against Licensee	2	0	10	5
Total Prosecutions	0	2	5	5
Inquiries Received and Answered	2,500	2,500	3,000	3,000
Audits	78	78	78	78
Applicants Denied SD Licensure	26	35	20	20
Board Meetings Held	6	6	6	6

LABOR AND REGULATION

1036 Electrical Commission - Info

Mission:

To keep the citizens of our state and their property safe from the hazards associated with using electricity. The Commission administers the state laws and regulations concerning electrical wiring, inspects wiring installations, investigates complaints related to electrical wiring and licenses all electricians within the state.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	1,621,938	1,778,819	1,692,757	1,934,958	1,934,958	242,201
Total	\$ 1,621,938	\$ 1,778,819	\$ 1,692,757	\$ 1,934,958	\$ 1,934,958	\$ 242,201
EXPENDITURE DETAIL:						
Personal Services	\$ 1,170,167	\$ 1,294,419	\$ 1,203,393	\$ 1,436,260	\$ 1,436,260	\$ 232,867
Operating Expenses	451,771	484,400	489,364	498,698	498,698	9,334
Total	\$ 1,621,938	\$ 1,778,819	\$ 1,692,757	\$ 1,934,958	\$ 1,934,958	\$ 242,201
Staffing Level FTE:	20.4	21.6	22.0	23.1	23.1	1.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Examination Fees	8,920	8,440	7,000	7,000
Re-examination Fees	2,200	2,480	2,000	2,000
New License Fees	36,250	26,350	18,000	18,000
Renewal Fees	152,910	61,540	165,000	35,000
Miscellaneous Income	3,269	102	5,000	5,000
Interest Income	14,891	14,892	20,000	20,000
Inspection Fees	1,234,976	1,155,780	1,150,000	1,150,000
Wiring Permits	133,100	131,685	115,000	115,000
Reciprocity Fees	8,400	9,360	9,000	9,000
Administrative & Re-instatement Penalty Fees	39,892	81,862	12,000	12,000
Undertaking Fees	4,785	4,900	5,000	5,000
Total	1,639,593	1,497,391	1,508,000	1,378,000

PERFORMANCE INDICATORS				
Licenses Renewed/New Practitioners	3,480/825	1,328/728	3,000/800	900/600
Examinations:				
Applicants Examined/Passed	299/135	272/154	250/100	250/100
Applicants Reexamined/Passed	124/47	173/107	150/75	150/75
Complaints:				
Received/Investigated/Resolved	0/0/0	0/0/0	5/5/5	5/5/5
Hearings Held	0	0	1	1
Inspections	34,194	38,876	40,000	42,000
Audits	0	1	0	1
Applicants Denied SD Licensure	14	27	25	25
Board Meetings Held	1	2	4	4

LABOR AND REGULATION

1037 Real Estate Commission - Info

Mission:

To protect the interest of the public when engaged in a real estate transaction; to enforce standards for education, licensing, practice of real estate brokers, salespersons, auctioneers, property managers, residential rental agents, home inspectors, property managers, timeshare agents, and the registration of condominium, timeshare, and subdivision projects.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	454,150	448,782	580,357	589,188	589,188	8,831
Total	\$ 454,150	\$ 448,782	\$ 580,357	\$ 589,188	\$ 589,188	\$ 8,831
EXPENDITURE DETAIL:						
Personal Services	\$ 319,093	\$ 339,616	\$ 349,207	\$ 358,038	\$ 358,038	\$ 8,831
Operating Expenses	135,057	109,166	231,150	231,150	231,150	0
Total	\$ 454,150	\$ 448,782	\$ 580,357	\$ 589,188	\$ 589,188	\$ 8,831
Staffing Level FTE:	5.1	5.2	5.0	5.1	5.1	0.1

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Application Fees	87,845	88,667	87,000	87,000
New License Fees	34,732	22,319	30,000	25,000
Renewal Fees	276,178	226,795	275,000	225,000
Materials Sold	11,090	11,721	12,000	12,000
Interest Income	6,516	6,773	6,000	6,000
Changes of Address	7,980	6,705	8,000	8,000
Certificates of Licensure	2,805	3,305	2,800	3,000
Late Renewal Fees	8,770	8,855	9,000	9,000
Penalties Reimbursement of Investigations	417	14,956	10,000	10,000
Seminar Income	57,740	25,610		
Miscellaneous	9,020		10,000	10,000
Total	503,093	415,706	449,800	395,000

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed/New	1,824/400	1,645/460	1,750/400	1,700/400
Practitioners	3,853	4,014	3,800	4,000
Examinations:				
Nationally Prepared (Times Given)	921	494	500	500
Applicants Examined/Passed	649/439	494/262	450/200	450/250
State Prepared (Times Given)	51	494	500	500
Applicants Examined/Passed	42/39	536/292	500/300	500/300
Applicants Reexamined/Passed	265/219	217/88	250/150	250/150
Complaints:				
Received/Investigated/Resolved	99/99/99	90/90/90	85/85/85	85/85/85
Hearings Held/Pending	0/0	0/0	1/0	1/0
Licensees Reprimanded/Probationed	90	85	80	80
Licenses Suspended/Revoked	0	0	1	1
No Action Taken Against Licensee	9	5	10	10
Inspections (condos)	8	3	6	5
Audits	189	193	200	200
Inquiries Received and Answered	58,982	55,682	56,000	56,000
Applicants Denied SD Licensure	4	3	5	5
Board Meetings Held	6	7	8	8

LABOR AND REGULATION

1038 Abstracters Bd of Examiners - Info

Mission:

To issue abstracter's licenses to qualified applicants; to examine and license new title plants and those changing ownership to maintain quality and compliance; to monitor and ensure the quality of services provided by licensees; and to promote continuing education for licensees.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	26,232	28,096	27,518	45,831	45,831	18,313
Total	\$ 26,232	\$ 28,096	\$ 27,518	\$ 45,831	\$ 45,831	\$ 18,313
EXPENDITURE DETAIL:						
Personal Services	\$ 17,872	\$ 16,524	\$ 17,908	\$ 7,221	\$ 7,221	(\$ 10,687)
Operating Expenses	8,360	11,573	9,610	38,610	38,610	29,000
Total	\$ 26,232	\$ 28,096	\$ 27,518	\$ 45,831	\$ 45,831	\$ 18,313
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Examination Fees	750	6,000	4,000	4,000
Reexamination Fees	200	800	1,000	1,000
New License Fees	3,882	4,925	5,000	2,000
Renewal Fees	37,730	37,730	38,000	40,000
Materials Sold	1,125	800	1,000	1,000
Interest Income	2,894	3,494	2,500	3,000
Total	46,581	53,749	51,500	51,000

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Licenses Renewed	84	87	87	87
New Licenses	3	2	2	2
Practitioners	200	246	200	250
Examinations:				
State Prepared (Times Given)	3	3	3	3
Applicants Examined	29	29	30	30
Applicants Reexamined	8	19	10	15
Complaints:				
Received/Investigated/Resolved	0/0/0	0/0/0	1/1/1	2/2/2
Hearings Held	0	0	0	0
Miscellaneous:				
Inspections	0	3	2	2
Inquiries Received and Answered	100	125	100	100
Board Meetings Held	3	3	3	3

LABOR AND REGULATION

1039 South Dakota Athletic Commission - Info

Mission:

To minimize injury risk and promote safety, to the extent possible, for all participants in the boxing, kickboxing and mixed martial arts competitions through the enforcement of statutes and rules to regulate such events.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	40,099	45,131	55,740	57,926	57,926	2,186
Total	\$ 40,099	\$ 45,131	\$ 55,740	\$ 57,926	\$ 57,926	\$ 2,186
EXPENDITURE DETAIL:						
Personal Services	\$ 4,288	\$ 4,457	\$ 8,370	\$ 10,556	\$ 10,556	\$ 2,186
Operating Expenses	35,811	40,674	47,370	47,370	47,370	0
Total	\$ 40,099	\$ 45,131	\$ 55,740	\$ 57,926	\$ 57,926	\$ 2,186
Staffing Level FTE:	0.0	0.0	0.0	0.0	0.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Event Fee	9,664	183,327	30,000	30,000
Promoter License	1,500	1,200	1,500	1,500
Matchmaker License	200	200	200	200
Manager License	100	300	100	100
Contestant Registration	5,000	6,025	6,000	6,000
Judge Registration	500	550	500	500
Referee Registration	500	300	500	500
Second Registration	2,500	5,000	2,500	2,500
Timekeeper Registrations	125	75	125	125
Interest Income	600	284	600	600
Federal ID Fee	25	25	25	25
Amateur Exemption Fee	100	100	100	100
Total	20,814	197,386	42,150	42,150

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Events monitored	4	6	8	8
Promoters Licensed	3	4	5	5
Contestant Registrations	94	120	100	100
Matchmakers	1	1	1	1
Managers	1	3	1	1
Judges	13	11	10	10
Referees	4	6	10	10
Timekeepers	1	3	5	5
Second Registrations	115	201	200	200
Amateur Association Exemption Fee	1	1	1	1
Federal ID applicant	0	1	1	1

LABOR AND REGULATION

10610 Banking

Mission:

To charter, license, regulate, supervise and provide guidance to South Dakota financial entities in order to instill consumer confidence, protect consumer interests and promote economic stability through a common sense, efficient and risk-focused approach.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	2,735,207	3,100,003	3,208,227	3,737,088	3,737,088	528,861
Total	\$ 2,735,207	\$ 3,100,003	\$ 3,208,227	\$ 3,737,088	\$ 3,737,088	\$ 528,861
EXPENDITURE DETAIL:						
Personal Services	\$ 2,105,443	\$ 2,342,380	\$ 2,560,482	\$ 2,973,073	\$ 2,973,073	\$ 412,591
Operating Expenses	629,764	757,624	647,745	764,015	764,015	116,270
Total	\$ 2,735,207	\$ 3,100,003	\$ 3,208,227	\$ 3,737,088	\$ 3,737,088	\$ 528,861
Staffing Level FTE:	26.6	28.3	29.5	34.5	34.5	5.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Mortgage Lender Renewal and Application	159,050	173,900	150,000	150,000
Banking Revolving Fund:				
Bank Examination Fee	847,321	1,644,971	1,500,000	1,500,000
Trust Company Examination Fee	290,537	306,742	300,000	300,000
Money Lenders Renewal and Applications	436,000	334,400	400,000	300,000
Other License Fees		360		
Money Transmitter Renewal and Application	91,500	102,400	90,000	100,000
Mortgage Broker Renewal and Application	7,390	9,930	7,000	7,000
Mortgage Loan Originator Renewal and Application	295,335	347,725	200,000	200,000
Trust Company Supervision Fee	812,284	840,381	650,000	750,000
Investment Council Interest	70,462	79,785	40,000	40,000
Other Banks and Loans	801	302	1,000	1,000
Miscellaneous (Transfer In)	8,255	8,645		
Trust Company Charter Fees (General Fund)		35,000	20,000	20,000
Licensing Examination Fees	28,471	15,686	20,000	20,000
Total	3,047,406	3,900,227	3,378,000	3,388,000

PERFORMANCE INDICATORS				
Institutions Examined:				
Money Lenders (on-site)	19	3	10	10
Banks	16	17	18	18
Trust Companies	34	27	35	35
Licenses Issued or Renewed:				
Money Lenders/Money Orders	458/77	359/87	325/70	300/80
Mortgage Lenders/Brokers	246/17	256/19	240/15	240/15
Mortgage Loan Originator	2,542	3,059	2,000	2,000
Charters Cancelled: Banks/Bank Branches	3/11	0/1	2/2	2/2
Total Assets of Banks Supervised	\$25,293,667,000	\$25,213,000,000	\$25,000,000,000	\$25,000,000,000
Total Assets of Trust Companies Supervised	\$226,054,306,000	\$234,371,588,000	\$275,000,000,000	\$275,000,000,000

LABOR AND REGULATION

10612 Trust Captive Insurance Company - Info

Mission:

To provide insurance coverage for the potential administrative and examination costs associated with the failure of a trust company regulated in South Dakota.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	0	133,620	273,811	173,046	173,046	(100,765)
Total	\$ 0	\$ 133,620	\$ 273,811	\$ 173,046	\$ 173,046	(\$ 100,765)
EXPENDITURE DETAIL:						
Personal Services	\$ 0	\$ 4,995	\$ 15,000	\$ 18,485	\$ 18,485	\$ 3,485
Operating Expenses	0	128,625	258,811	154,561	154,561	(104,250)
Total	\$ 0	\$ 133,620	\$ 273,811	\$ 173,046	\$ 173,046	(\$ 100,765)
Staffing Level FTE:	0.0	0.1	0.0	0.0	0.0	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
PERFORMANCE INDICATORS				
Number of Trust Companies Registered	89	96	103	110
Number of Claims Submitted to Captive	N/A	0	0	0

LABOR AND REGULATION

1063 Insurance

Mission:

To protect the public by providing quality assistance, providing fair industry regulation, and promoting healthy and competitive insurance and investment markets in South Dakota.

	ACTUAL FY 2016	ACTUAL FY 2017	BUDGETED FY 2018	REQUESTED FY 2019	GOVERNOR'S RECOMMENDED FY 2019	RECOMMENDED INC/(DEC) FY 2019
FUNDING SOURCE:						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	0
Federal Funds	535,369	55,528	40,482	40,482	40,482	0
Other Funds	2,736,440	2,714,229	3,348,940	3,348,940	3,348,940	0
Total	\$ 3,271,809	\$ 2,769,756	\$ 3,389,422	\$ 3,389,422	\$ 3,389,422	\$ 0
EXPENDITURE DETAIL:						
Personal Services	\$ 2,239,127	\$ 2,387,267	\$ 2,541,483	\$ 2,541,483	\$ 2,541,483	0
Operating Expenses	1,032,681	382,490	847,939	847,939	847,939	0
Total	\$ 3,271,809	\$ 2,769,756	\$ 3,389,422	\$ 3,389,422	\$ 3,389,422	\$ 0
Staffing Level FTE:	36.2	36.0	37.7	37.7	37.7	0.0

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Taxes Collected (General Fund)	84,541,512	83,020,726	84,000,000	85,500,000
Fees (Insurance Operating Fund):				
Admission	76,488	97,936	70,000	77,000
Company Renewal	81,480	79,880	83,000	82,000
Agent Licensing/Renewal	8,559,141	9,217,424	8,000,000	8,200,000
Exam Fees	15,160	16,700	15,000	15,000
Miscellaneous and Legal	7,949	10,699	9,000	9,000
Retaliatory/Filing	1,172,200	1,192,953	1,200,000	1,175,000
Administrative Penalties	554,577	581,257	500,000	550,000
Lists and Labels	270	440	500	500
Certification Letters	3,860	3,160	3,500	3,500
Investment Council Interest	19,626	17,578	20,000	20,000
Course Approval	16,475	17,100	17,000	17,000
Company Supervision	94,500	95,500	75,000	100,000
Subsequent Injury Fund:				
Sub-Injury Fund Assessment	3,492,914	500	2,500,000	
Investment Council Interest	21,208	24,086	20,000	25,000
Continuing Education Fund:				
Agent Renewal Fees (Biennial renewal)	37,270	69,820	35,000	70,000
Special Collections for Workers Comp:				
Policy Fee (Transferred to Dept. of Labor)	277,871	270,214	260,000	265,000
Examination Fund (Effective 7-1-97)	1,342,000	1,341,000	1,350,000	1,340,000
Investment Companies Notification Fees	23,605,650	30,596,150	31,514,000	32,459,000
Securities Registration Fees	42,840	23,775	24,000	25,000
Broker-Dealer Licensing Fees	192,600	196,200	202,000	208,060
Agent Licensing Fees	14,065,775	13,996,150	14,416,000	14,848,480
Name Change Filing Fees	110,600	145,900	150,000	155,000
Extension of Registration Fees	5,900	5,450	6,000	6,000
Investment Adviser Agent Fees	105,650	112,900	116,000	119,000
Annual Report Filing Fees	400	300		
REG D-506 Filing Fees	113,825	111,600	115,000	118,000
Franchise Registration Fees	54,400	58,950	61,000	63,000
Franchise Amendment Fees		150		
Franchise Annual Report Fees	100,100	93,050	96,000	99,000
Securities Opinion Fees	850	100		
Investment Adviser Fees	4,700	5,500	6,000	6,000
Business Opportunity Filing Fees	100	100	100	100
Private Placement Filing Fees	2,450	400		

	ACTUAL FY 2016	ACTUAL FY 2017	ESTIMATED FY 2018	ESTIMATED FY 2019
REVENUES				
Church Extension Bond Filing Fees	5,000	5,700	6,000	6,000
Investment Adviser Notice Filing Fee	382,575	281,400	290,000	299,000
Model Accredited Filing Fees	200			
Reg A Tier II Filing Fees	1,000	4,400	5,000	8,000
Securities Fines	70,001	13,250	14,000	14,000
Securities Fund Interest	43,191	44,960	46,000	47,000
Securities Misc	8,020	1,806	2,000	2,000
Total	139,230,328	141,755,164	145,227,100	145,931,640
PERFORMANCE INDICATORS				
Total Licensed/Domestic Companies	1,385/73	1,390/71	1,385/70	1,390/70
Domestic Companies Financial Exams In Progress	5	1	2	2
Domestic Companies Financial Exams Closed	3	6	16	4
Company Market Conduct Exams In Progress	2	1	2	2
Company Market Conduct Exams Closed	5	1	1	2
Companies Licensed/Approved Mergers	17/11	29/12	15/10	20/10
Agent Licenses Issued	16,614	17,668	14,000	15,000
Agent Appointments Issued	81,665	80,419	65,000	68,000
Agent Licenses Renewed	28,827	28,100	24,000	25,000
Renewed Appointments	197,247	228,783	200,000	210,000
Agent Appointment Cancellations	70,482	62,113	60,000	60,000
Property/Casualty Filings Reviewed	6,046	6,293	7,000	7,000
Life/Health Filings Reviewed	2,828	2,964	3,300	3,000
Consumer Complaints Closed	742	545	675	660
Enforcement/Closed Files	1,195	1,318	1,000	1,100
Continuing Education:				
Agents Paying License Renewal	1,699	3,621	1,600	3,400
Agents Exempt	305	284	305	305
Courses Reviewed	670	691	700	700
Transfer to General Fund (SDCL 4-4-4.4)	\$7,180,551	\$7,819,539	\$6,178,578	\$6,434,578
Subsequent Injury Fund:				
New Claims	3	1	2	2
Claims Paid	65	57	70	65
Dollars Paid	\$1,725,509	\$1,089,621	\$1,600,000	\$1,500,000
New Securities Applications	32	18	55	55
Extension and Amendments	57	53	65	65
Private Placement/Other Exemptions	1/420	0/417	2/375	2/375
Invest. Co. Notice Filings-New/Total	3,495/26,997	3,801/26,640	3,750/25,500	3,750/25,500
New Franchise Applications/Renewals	227/654	236/604	245/700	245/700
Franchise Exemptions	0	0	0	0
Business Opportunities-New/Total	0/2	0/2	0/2	0/2
Broker-Dealers/B-D Agents Licensed	1,235/94,433	1,234/94,217	1,275/95,500	1,275/95,500
Investment Advisors/IA Agents Licensed	45/1,829	52/1,939	55/1,850	55/1,850
Investment Advisors Notice Filings	823	844	875	875
Franchise Applications Withdrawn	192	234	185	185
Investigations	88	84	125	125
Administrative Orders Issues	54	19	50	50
Opinions Requested	5	2	5	5
Securities Transfers to General Fund	\$38,448,476	\$45,226,369	\$46,480,638	\$47,872,558