

LABOR AND REGULATION

10 LABOR AND REGULATION

Mission:

The mission of the Department of Labor and Regulation is to promote economic opportunity and financial security for individuals and businesses through quality, responsive and expert services; fair and equitable employment solutions; and safe and sound business practices.

LEGAL CITATION: The Department of Labor and Regulation is structured by virtue of Executive Order 2011-01. SDCL 1-37 established the secretary as the department head. Laws governing department divisions are: SDCL 47-31B; SDCL 51A-2; SDCL 58-2; SDCL 60-1 through 60-6, 60-6A, 60-9, 60-9A, 60-10 through 60-12, 3-18, 3-12, 3-12A, 3-12A, and 1-35-8; and SDCL Titles 61 and 62.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|----------------------|----------------------|----------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 2,039,124 | \$ 1,954,498 | \$ 1,963,362 | \$ 1,963,362 | \$ 1,963,362 | \$ 0 |
| Federal Funds | 25,165,426 | 26,632,679 | 30,577,185 | 30,913,613 | 30,577,185 | 0 |
| Other Funds | 11,010,445 | 10,846,809 | 12,689,228 | 12,883,469 | 12,883,469 | 194,241 |
| Total | <u>\$ 38,214,995</u> | <u>\$ 39,433,986</u> | <u>\$ 45,229,775</u> | <u>\$ 45,760,444</u> | <u>\$ 45,424,016</u> | <u>\$ 194,241</u> |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 23,854,572 | \$ 24,080,108 | \$ 27,589,107 | \$ 27,648,372 | \$ 27,730,664 | \$ 141,557 |
| Operating Expenses | 14,360,423 | 15,353,878 | 17,640,668 | 18,112,072 | 17,693,352 | 52,684 |
| Total | <u>\$ 38,214,995</u> | <u>\$ 39,433,986</u> | <u>\$ 45,229,775</u> | <u>\$ 45,760,444</u> | <u>\$ 45,424,016</u> | <u>\$ 194,241</u> |
| Staffing Level FTE: | 396.3 | 392.5 | 425.3 | 425.6 | 427.6 | 2.3 |

LABOR AND REGULATION

1001 Secretariat Administration

Mission:

To improve the administration of and provide centralized support services for the Department of Labor and Regulation's programs and occupational licensing boards and commissions; to develop a skilled workforce through job training and employment services; to collect, analyze, and provide labor market information; to certify, license, and register real estate appraisers; and to provide integrated financial, legal, and public affairs support across the department.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|----------------------|----------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 658,473 | \$ 557,740 | \$ 559,043 | \$ 559,043 | \$ 559,043 | \$ 0 |
| Federal Funds | 9,033,539 | 9,907,926 | 11,129,138 | 11,552,638 | 11,129,138 | 0 |
| Other Funds | 221,992 | 237,522 | 317,771 | 317,771 | 317,771 | 0 |
| Total | \$ 9,914,004 | \$ 10,703,188 | \$ 12,005,952 | \$ 12,429,452 | \$ 12,005,952 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 3,267,085 | \$ 3,389,324 | \$ 3,564,059 | \$ 3,564,059 | \$ 3,564,059 | \$ 0 |
| Operating Expenses | 6,646,919 | 7,313,864 | 8,441,893 | 8,865,393 | 8,441,893 | 0 |
| Total | \$ 9,914,004 | \$ 10,703,188 | \$ 12,005,952 | \$ 12,429,452 | \$ 12,005,952 | \$ 0 |
| Staffing Level FTE: | 51.9 | 51.8 | 52.6 | 52.6 | 52.6 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Appraiser Certification: | | | | |
| New Application Fees | 5,735 | 8,745 | 7,000 | 7,000 |
| Renewal Fees | 122,900 | 127,605 | 127,000 | 127,000 |
| Investment Council Interest | 3,506 | 3,400 | 3,400 | 3,400 |
| Risk Retention Group Lic | 3,010 | 2,416 | 2,416 | 2,416 |
| Reciprocity Fees | 10,500 | 11,900 | 11,900 | 11,900 |
| Temporary Fees | 23,200 | 24,800 | 24,000 | 24,000 |
| Penalty/Discipline Fees | 4,200 | 3,750 | 3,000 | 3,000 |
| Course Fees | 7,200 | 7,300 | 7,000 | 7,000 |
| Penalty/Renewals | 425 | 425 | 425 | 425 |
| 7 hour USPAP Course Penalty | 200 | 1,900 | 200 | 200 |
| Contested Case Assessment | 2,333 | | | |
| Supervisor/Trainee Applications | 900 | 900 | 900 | 900 |
| Supervisor Renewal | 5,000 | 4,500 | 4,500 | 4,500 |
| Supervisor Renewal Late Fee | | 25 | 25 | 25 |
| Appraisal Management Fund | | | | |
| New Application Fees | 6,050 | 9,000 | 6,000 | 6,000 |
| License Renewal Fees | 70,500 | 70,500 | 70,500 | 70,500 |
| Late Renewal Fees | 300 | 50 | 50 | 50 |
| Investment Council Interest | 2,222 | 3,423 | 3,400 | 3,400 |
| Monetary Penalty | | 750 | | |
| Total | 268,181 | 281,389 | 271,716 | 271,716 |

PERFORMANCE INDICATORS

| | | | | |
|--|---------|---------|---------|---------|
| State Labor Force | 453,555 | 457,835 | 458,904 | 461,567 |
| Employed Labor Force | 439,158 | 442,623 | 444,104 | 447,067 |
| Unemployed Labor Force | 14,397 | 15,212 | 14,800 | 14,500 |
| Unemployment Rate | 3.2% | 3.3% | 3.2% | 3.1% |
| Adult Education and Literacy Enrollments | 2,755 | 2,172 | 2,250 | 2,350 |
| Appraisers--New/Renewed Licenses | 19/378 | 14/387 | 10/387 | 10/387 |
| Complaints Received (Appraisers) | 13 | 5 | 5 | 5 |
| Upgrade/New Application Reviews | 10/1 | 8/1 | 8/1 | 8/1 |
| Midway Review | 0 | 6 | 6 | 6 |
| Reciprocity/Temporary | 24/116 | 28/124 | 28/124 | 28/124 |
| Course Applications | 144 | 146 | 146 | 146 |
| Supervisor/Trainees (New/Renewed) | 2/48 | 6/49 | 6/49 | 6/49 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------------|-------------------|-------------------|----------------------|----------------------|
| PERFORMANCE INDICATORS | | | | |
| Appraisal Management new applications | 7 | 9 | 7 | 7 |
| Appraisal Management renewals | 95 | 94 | 94 | 94 |

LABOR AND REGULATION

1004 Unemployment Insurance Service

Mission:

To provide economic support to workers and protect the interest of workers and businesses by determining UI eligibility and liability, collecting taxes, making payments and ensuring compliance all through exceptional service.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|---------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 1,372 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 5,448,959 | 6,437,356 | 6,873,336 | 6,786,264 | 6,873,336 | 0 |
| Other Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | \$ 5,448,959 | \$ 6,438,728 | \$ 6,873,336 | \$ 6,786,264 | \$ 6,873,336 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 3,628,381 | \$ 3,801,103 | \$ 4,231,477 | \$ 4,149,185 | \$ 4,231,477 | \$ 0 |
| Operating Expenses | 1,820,577 | 2,637,624 | 2,641,859 | 2,637,079 | 2,641,859 | 0 |
| Total | \$ 5,448,959 | \$ 6,438,728 | \$ 6,873,336 | \$ 6,786,264 | \$ 6,873,336 | \$ 0 |
| Staffing Level FTE: | 64.8 | 66.5 | 74.0 | 72.0 | 74.0 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---|-------------------|-------------------|----------------------|----------------------|
| PERFORMANCE INDICATORS | | | | |
| Applications for Benefits | 14,618 | 12,254 | 13,000 | 13,000 |
| Number of Weekly Payments | 89,579 | 81,583 | 85,000 | 85,000 |
| Average Weekly Payment | \$324 | \$332 | \$342 | \$352 |
| Average Number of Weekly Payments | 13.7 | 14.7 | 14.0 | 14.0 |
| Average Benefit Payment | \$4,378 | \$4,792 | \$4,700 | \$4,850 |
| Individuals Receiving Payments | 6,519 | 5,559 | 6,000 | 6,000 |
| % of First Payments Made Within 14 Days | 95.8% | 95.3% | 95.3% | 95.3% |
| Total Dollars Paid* | \$28,245,468 | \$25,963,739 | \$29,500,000 | \$30,900,000 |
| Fed. Claims Reimbursed by Fed. Government | \$1,709,616 | \$1,752,814 | \$1,770,000 | \$1,820,000 |
| State/Nonprofit Claims Reimbursed by Employer | \$2,250,115 | \$1,627,370 | \$1,720,000 | \$1,800,000 |
| Number of Covered Employers | 27,517 | 27,944 | 28,400 | 28,850 |
| UI Taxes Paid | \$38,153,514 | \$31,449,919 | \$30,900,000 | \$31,300,000 |
| Trust Fund Balance | \$113,566,320 | \$120,228,233 | \$124,300,000 | \$127,500,000 |

* Does not include Federal programs and fund transfers between states for interstate claims.

LABOR AND REGULATION

1005 Field Operations

Mission:

To achieve a skilled workforce contributing to economic development by efficiently and respectfully serving businesses, job seekers, and community partners through innovative workforce development solutions and serving as an information resource.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|----------------------|----------------------|----------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 655,578 | \$ 660,435 | \$ 666,255 | \$ 666,255 | \$ 666,255 | \$ 0 |
| Federal Funds | 10,555,045 | 10,207,173 | 12,233,358 | 12,233,358 | 12,233,358 | 0 |
| Other Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | \$ 11,210,623 | \$ 10,867,608 | \$ 12,899,613 | \$ 12,899,613 | \$ 12,899,613 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 8,785,311 | \$ 8,666,452 | \$ 10,209,355 | \$ 10,209,355 | \$ 10,209,355 | \$ 0 |
| Operating Expenses | 2,425,312 | 2,201,156 | 2,690,258 | 2,690,258 | 2,690,258 | 0 |
| Total | \$ 11,210,623 | \$ 10,867,608 | \$ 12,899,613 | \$ 12,899,613 | \$ 12,899,613 | \$ 0 |
| Staffing Level FTE: | 159.5 | 155.8 | 166.0 | 166.0 | 166.0 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---|-------------------|-------------------|----------------------|----------------------|
| PERFORMANCE INDICATORS | | | | |
| SDWORKS Participants | | | | |
| Job Orders Received by DLR Externally+ | 5,000 | 11,890 | 20,000 | 25,000 |
| SDWORKS Participants | | | | |
| Staff Assisted/Self-Served* | N/A | 16,957/12,981 | 18,000/14,000 | 18,500/14,500 |
| Wagner-Peyser Employment Services (ES) | | | | |
| Employment Rate After Exit Qtr 2/Qtr 4* | N/A | 76.1%/73.7% | 70.0%/61.4% | 70.0%/61.4% |
| Workforce Innovation & Opportunity Act (WIOA) | | | | |
| Participants Adult/Dislocated/Youth | 516/93/225 | 1,077/244/368 | 1,200/250/450 | 1,250/275/550 |
| WIOA Adult Employment Rate Qtr 2/Qtr 4* | N/A | 79.0%/75.0% | 77.7%/76.4% | 77.7%/76.4% |
| WIOA Youth Employment Rate Qtr 2/Qtr 4* | N/A | 77.0%/75.3% | 73.6%/73.5% | 73.6%/73.5% |
| WIOA Dislocated Employment Rate Qtr 2/Qtr 4* | N/A | 85.4%/88.9% | 87.5%/84.0% | 87.5%/84.0% |
| TANF Recipients Served/Work Activity | 1,088/2,351 | 616/2,392 | 700/2,500 | 700/2,500 |
| TANF Avg Statewide Participation Rate (FFY) | 50% | 50% | 50% | 50% |
| SNAP Recipients Served/Work Activity | 8,095/3,564 | 3,440/2,187 | 3,500/2,500 | 3,500/2,500 |
| Eligible Veteran Participants | 373 | 176 | 250 | 250 |
| UI Recipients Referred to Reemploy. Svcs | 3,704 | 3,099 | 3,000 | 3,000 |
| Dakota Roots Participants Entered Empl.~ | 242 | 172 | 225 | 250 |

+Job Orders Received split into two performance indicators, internal and external, starting in April 2017.

*New reporting requirements passed under the Workforce Innovation and Opportunity Act (WIOA) were implemented in FY2017, resulting in changed in performance measurements per the new standards.

~Reported by calendar year

LABOR AND REGULATION

1006 State Labor Law Administration

Mission:

To responsively provide dispute resolution and help people through investigations, enforcement, compliance, and education of workforce and discrimination law.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|---------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 725,073 | \$ 734,951 | \$ 738,064 | \$ 738,064 | \$ 738,064 | \$ 0 |
| Federal Funds | 72,356 | 43,712 | 300,443 | 300,443 | 300,443 | 0 |
| Other Funds | 476,811 | 366,165 | 510,202 | 510,202 | 510,202 | 0 |
| Total | \$ 1,274,239 | \$ 1,144,827 | \$ 1,548,709 | \$ 1,548,709 | \$ 1,548,709 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 855,245 | \$ 876,195 | \$ 1,142,587 | \$ 1,134,477 | \$ 1,134,477 | (\$ 8,110) |
| Operating Expenses | 418,995 | 268,633 | 406,122 | 414,232 | 414,232 | 8,110 |
| Total | \$ 1,274,239 | \$ 1,144,827 | \$ 1,548,709 | \$ 1,548,709 | \$ 1,548,709 | \$ 0 |
| Staffing Level FTE: | 12.9 | 12.8 | 15.3 | 15.3 | 15.3 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Workers' Compensation (WC) Self-Insurance | | | | |
| Application Fees | 18,000 | 26,000 | 24,000 | 24,000 |
| WC Insurance Policy Fees | 270,214 | 289,142 | 290,000 | 290,000 |
| WC Managed Care Plan Fees | 2,500 | 2,500 | 2,500 | 2,500 |
| Interest Income | 15,789 | 12,538 | 12,000 | 12,000 |
| First Report Late Filing Fines | 41,200 | 19,900 | 20,000 | 20,000 |
| Total | 347,703 | 350,080 | 348,500 | 348,500 |

| | | | | |
|--|-----------|-----------|-----------|-----------|
| PERFORMANCE INDICATORS | | | | |
| Collective Bargaining Filings of Petitions for Hearing | 30 | 32 | 30 | 30 |
| Collective Bargaining Petitions Settled or Dismissed Prior to Hearing | 2 | 3 | 3 | 3 |
| Hearings Held to Arbitrate, Mediate, or Conduct Collective Bargaining Matters and Render Decisions | 16 | 14 | 15 | 15 |
| Wage Inquiries/Wage Law Complaints Filed | 6,100/300 | 6,140/280 | 6,100/250 | 6,100/250 |
| Private Industry Employees Affected by WC | 355,800 | 368,000 | 380,000 | 380,000 |
| Private Industry WC First Reports of Injury | 17,416 | 18,585 | 18,500 | 18,500 |
| New Filings of Private Industry WC Petitions | 145 | 138 | 140 | 140 |
| Private Industry WC Claims Settled or Dismissed Prior to Hearing | 129 | 132 | 135 | 135 |
| Private Industry WC Hrng Petitions Pending | 381 | 375 | 380 | 380 |
| Private Industry WC Claims Resulting in a Formal Hearing | 1 | 4 | 5 | 5 |
| Hearings Held to Mediate WC Matters | 39 | 40 | 40 | 40 |
| UI Appeals Filings of Petitions for Hearing | 914 | 750 | 850 | 850 |
| UI Appeals Resulting in Final Order of Decision | 925 | 751 | 800 | 800 |
| UI Appeals Pending | 42 | 35 | 40 | 40 |
| Human Rights Charges Received/Conciliated | 259/2 | 280/1 | 275/2 | 275/2 |
| Human Rights Case Closures | 46 | 60 | 60 | 60 |
| Human Rights Unsuccessful Conciliations | 1 | 0 | 1 | 1 |
| WC Independent Contractor Applications | 351 | 225 | 250 | 250 |
| WC Agreements Reviewed/Approved | 698/542 | 684/530 | 700/600 | 700/600 |

LABOR AND REGULATION

1031 Board of Accountancy - Info

Mission:

To protect the citizens of South Dakota from receiving inadequate accounting services by licensing qualified accountant applicants, monitoring continuing professional education and annual reporting requirements, and enforcing statutes and rules promulgated to regulate the practice of public accountancy.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 256,744 | 280,398 | 332,081 | 332,081 | 332,081 | 0 |
| Total | \$ 256,744 | \$ 280,398 | \$ 332,081 | \$ 332,081 | \$ 332,081 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 115,829 | \$ 125,935 | \$ 155,902 | \$ 155,902 | \$ 155,902 | \$ 0 |
| Operating Expenses | 140,914 | 154,463 | 176,179 | 176,179 | 176,179 | 0 |
| Total | \$ 256,744 | \$ 280,398 | \$ 332,081 | \$ 332,081 | \$ 332,081 | \$ 0 |
| Staffing Level FTE: | 1.8 | 1.9 | 2.6 | 2.6 | 2.6 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Examination Fees | 22,269 | 22,790 | 20,000 | 20,000 |
| Reexamination Fees | 51,231 | 44,860 | 49,000 | 47,000 |
| New License Fees | 3,600 | 2,850 | 3,000 | 3,000 |
| Renewal Fees | 205,070 | 173,490 | 195,000 | 195,000 |
| Interest Income | 5,466 | 5,470 | 4,000 | 4,500 |
| Peer Review | 5,175 | 4,350 | 4,000 | 4,000 |
| Board Exam Fee | 11,980 | 11,040 | 12,000 | 11,500 |
| Name Changes | 275 | 250 | 100 | 100 |
| Late Fees | 4,350 | 4,600 | 4,500 | 4,500 |
| Legal Recovery cost | 1,050 | 1,650 | 1,000 | 1,000 |
| Refund of Prior Year's Expenses | | 695 | | |
| Total | 310,466 | 272,045 | 292,600 | 290,600 |

| | | | | |
|--------------------------------------|---------|----------|----------|----------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed | 1,995 | 2,043 | 1,800 | 1,900 |
| New Licenses | 81 | 76 | 70 | 70 |
| Practitioners | 1,890 | 1,923 | 1,750 | 1,750 |
| Examinations: | | | | |
| Nationally Prepared (Times Given) | 4 | 4 | 4 | 4 |
| Total Applicants Examined | 100 | 92 | 95 | 95 |
| Applicants Passed (Includes Reexams) | 59 | 57 | 50 | 50 |
| Score Required for Passing | 75% | 75% | 75% | 75% |
| Complaints: | | | | |
| Received/Investigated/Resolved | 18/18/8 | 15/15/14 | 12/12/11 | 12/12/11 |
| Hearings Held/Pending | 0/0 | 0/0 | 0/0 | 0/0 |
| Licensees Reprimanded/Probationed | 4/0 | 9/0 | 0/0 | 0/0 |
| Licenses Suspended/Revoked | 0/0 | 0/0 | 0/0 | 0/0 |
| No Action Taken Against Licensee | 0 | 1 | 0 | 0 |
| Prosecutions | 0 | 0 | 0 | 0 |
| Miscellaneous: | | | | |
| Peer Review | 69 | 70 | 55 | 58 |
| Inquiries Received and Answered | 7,440 | 7,440 | 7,440 | 7,440 |
| Applicants Denied Licensure | 0 | 0 | 0 | 0 |
| Board Meetings Held | 9 | 10 | 10 | 10 |
| CPE Audits | 104 | 109 | 110 | 110 |

LABOR AND REGULATION

1032 Board of Barber Examiners - Info

Mission:

The Board of Barber Examiners protects the health and safety of the consumer public by licensure of qualified persons, licensing and inspection of barbershop facilities, and enforcement of the statutes, rules and regulations governing the practice of barbering including the appropriate resolution of complaints.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 27,911 | 30,529 | 31,130 | 24,212 | 24,212 | (6,918) |
| Total | \$ 27,911 | \$ 30,529 | \$ 31,130 | \$ 24,212 | \$ 24,212 | (\$ 6,918) |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 4,363 | \$ 4,212 | \$ 4,548 | \$ 14,896 | \$ 14,896 | \$ 10,348 |
| Operating Expenses | 23,548 | 26,317 | 26,582 | 9,316 | 9,316 | (17,266) |
| Total | \$ 27,911 | \$ 30,529 | \$ 31,130 | \$ 24,212 | \$ 24,212 | (\$ 6,918) |
| Staffing Level FTE: | 0.0 | 0.0 | 0.0 | 0.2 | 0.2 | 0.2 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Application Fees | 200 | 850 | 200 | 200 |
| Renewal Fees | 20,100 | 17,230 | 20,625 | 20,625 |
| Interest Income | 669 | 559 | 680 | 680 |
| Reciprocity Fees/Transfer License | 915 | 1,565 | 1,050 | 1,050 |
| New Shop Inspection | 1,000 | 1,000 | 625 | 625 |
| Expired License Fees | 96 | 84 | 96 | 96 |
| Restoration Fees | 180 | 395 | 225 | 225 |
| Fee for privately owned barber chairs | 1,570 | 1,370 | 1,570 | 1,570 |
| Certificate Fee | | 150 | | |
| Total | 24,730 | 23,203 | 25,071 | 25,071 |

| | | | | |
|--------------------------------------|-------|--------|--------|--------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed/New | 306/6 | 302/10 | 302/10 | 302/10 |
| Practitioners | 170 | 177 | 177 | 185 |
| Examinations: | | | | |
| Nationally Prepared (Times Given) | 0 | 1 | 0 | 2 |
| Applicants Examined | 0 | 2 | 0 | 4 |
| Applicants Passed (Includes Reexams) | 0 | 2 | 0 | 4 |
| Inspections | 94 | 93 | 93 | 95 |
| Inquiries Received and Answered | 510 | 510 | 510 | 510 |
| Board Meetings Held | 3 | 2 | 2 | 2 |

LABOR AND REGULATION

1033 Cosmetology Commission - Info

Mission:

To ensure the health and safety of our citizens as they use cosmetology, esthetics, and nail technology services.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 331,351 | 336,618 | 332,274 | 354,504 | 354,504 | 22,230 |
| Total | \$ 331,351 | \$ 336,618 | \$ 332,274 | \$ 354,504 | \$ 354,504 | \$ 22,230 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 203,390 | \$ 214,812 | \$ 207,839 | \$ 230,069 | \$ 230,069 | \$ 22,230 |
| Operating Expenses | 127,961 | 121,806 | 124,435 | 124,435 | 124,435 | 0 |
| Total | \$ 331,351 | \$ 336,618 | \$ 332,274 | \$ 354,504 | \$ 354,504 | \$ 22,230 |
| Staffing Level FTE: | 4.1 | 4.3 | 3.7 | 4.3 | 4.3 | 0.6 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Examination Fees | 15,200 | 16,560 | 16,000 | 16,000 |
| Reexamination Fees | 1,305 | 2,390 | 1,500 | 1,500 |
| New License Fees (no temp fees) | 27,557 | 24,851 | 28,000 | 25,000 |
| Renewal Fees (has dup fees) | 213,221 | 195,520 | 210,000 | 196,000 |
| Materials Sold/Miscellaneous | 481 | 2,519 | 500 | 2,500 |
| Interest Income | 3,768 | 2,909 | 3,800 | 2,700 |
| Temporary Licenses | 1,776 | 1,266 | 1,800 | 1,500 |
| Certifications | 1,980 | 2,160 | 2,000 | 2,100 |
| Reciprocity | 11,800 | 7,900 | 12,000 | 11,000 |
| Penalty Fees | 30,820 | 49,200 | 32,000 | 49,000 |
| Total | 307,908 | 305,275 | 307,600 | 307,300 |

| | | | | |
|-----------------------------------|-----------|-----------|-----------|-----------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed/New | 8,843/886 | 8,146/893 | 8,500/825 | 8,500/825 |
| Practitioners | 6,890 | 6,210 | 6,800 | 6,800 |
| Examinations: | | | | |
| Nationally Prepared (Times Given) | 11 | 11 | 12 | 12 |
| Applicants Examined/Passed | 308/296 | 286/275 | 310/300 | 310/300 |
| State Prepared (Times Given) | 11 | 11 | 12 | 12 |
| Applicants Examined/Passed | 340/331 | 300/295 | 340/330 | 340/330 |
| Applicants Reexamined/Passed | 40/36 | 32/29 | 40/35 | 40/35 |
| Complaints (calendar year): | | | | |
| Received/Investigated/Resolved | 43/43/29 | 52/52/43 | 30/30/27 | 30/30/27 |
| Hearings Held/Pending | 1/0 | 0/0 | 2/0 | 2/0 |
| Licensees Reprimanded/Probationed | 1/0 | 43/0 | 0/0 | 0/0 |
| Licenses Suspended/Revoked | 12/0 | 0/0 | 12/0 | 12/0 |
| Inspections/Audits | 1,775 | 1,900 | 1,800 | 1,800 |
| Inquiries Received and Answered | 17,500 | 17,400 | 17,700 | 17,700 |
| Board Meetings Held | 8 | 6 | 6 | 6 |

LABOR AND REGULATION

1034 Plumbing Commission - Info

Mission:

To keep the citizens of our state and their property safe from hazards associated with unsafe drinking water and unsafe waste disposal facilities.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 660,628 | 661,991 | 635,454 | 635,454 | 635,454 | 0 |
| Total | \$ 660,628 | \$ 661,991 | \$ 635,454 | \$ 635,454 | \$ 635,454 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 445,871 | \$ 405,310 | \$ 433,351 | \$ 433,351 | \$ 433,351 | \$ 0 |
| Operating Expenses | 214,757 | 256,681 | 202,103 | 202,103 | 202,103 | 0 |
| Total | \$ 660,628 | \$ 661,991 | \$ 635,454 | \$ 635,454 | \$ 635,454 | \$ 0 |
| Staffing Level FTE: | 7.0 | 7.0 | 7.1 | 7.1 | 7.1 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Examination Fees | 15,500 | 13,800 | 15,500 | 15,500 |
| Reexamination Fees | 300 | 800 | 300 | 300 |
| New License Fees | 29,540 | 29,295 | 29,500 | 29,500 |
| Renewal Fees | 289,665 | 297,345 | 290,000 | 290,000 |
| Materials Sold | 73,831 | 18,365 | 16,000 | 16,000 |
| Interest Income | 2,484 | 2,933 | 2,500 | 2,500 |
| Temporary Licenses | 300 | 550 | 300 | 300 |
| License Directories/Seminar Registrations | 50 | 50 | 50 | 50 |
| Reciprocity Fees | 11,970 | 5,700 | 11,900 | 11,900 |
| Inspection Certificates | 16,050 | 15,215 | 16,000 | 16,000 |
| Inspection Fees | 264,070 | 262,751 | 264,000 | 264,000 |
| Misc Income | 160 | 234 | 160 | 160 |
| Total | 703,920 | 647,038 | 646,210 | 646,210 |
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed | 2,394 | 2,561 | 2,500 | 2,500 |
| New Licenses | 418 | 311 | 420 | 400 |
| Practitioners | 2,792 | 2,872 | 2,800 | 2,800 |
| Examinations: | | | | |
| State Prepared (Times Given) | 47 | 37 | 40 | 40 |
| Applicants Examined/Passed | 148/141 | 125/112 | 130/120 | 130/120 |
| Applicants Reexamined/Passed | 3/3 | 8/8 | 3/3 | 3/3 |
| Complaints: | | | | |
| Received/Investigated/Resolved | 18/18/18 | 14/14/14 | 15/15/15 | 15/15/15 |
| Prosecutions | 0 | 0 | 0 | 0 |
| Miscellaneous: | | | | |
| Inspections | 6,601 | 6,199 | 6,600 | 6,600 |
| Inquiries Received and Answered | 3,708 | 4,005 | 4,000 | 4,000 |
| Applicants Denied SD Licensure | 3 | 0 | 3 | 3 |
| Board Meetings Held | 4 | 4 | 4 | 4 |

LABOR AND REGULATION

1035 Board of Technical Professions - Info

Mission:

To protect the consumer from using the services of unqualified professional engineers, land surveyors, architects, landscape architects, and petroleum release assessors and remediators by the administration of examinations and registrations of qualified people; to promote high levels of professionalism through communication of board activities with registrants and the public; and, to conduct disciplinary actions.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 786,327 | 400,968 | 386,551 | 420,259 | 420,259 | 33,708 |
| Total | \$ 786,327 | \$ 400,968 | \$ 386,551 | \$ 420,259 | \$ 420,259 | \$ 33,708 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 159,439 | \$ 164,787 | \$ 202,671 | \$ 236,379 | \$ 236,379 | \$ 33,708 |
| Operating Expenses | 626,888 | 236,181 | 183,880 | 183,880 | 183,880 | 0 |
| Total | \$ 786,327 | \$ 400,968 | \$ 386,551 | \$ 420,259 | \$ 420,259 | \$ 33,708 |
| Staffing Level FTE: | 3.2 | 3.2 | 3.6 | 4.1 | 4.1 | 0.5 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Application Fees | 67,425 | 74,340 | 70,000 | 75,000 |
| Renewal Fees | 326,080 | 250,170 | 250,000 | 250,000 |
| Interest Income | 9,760 | 10,304 | 7,000 | 10,300 |
| Late Renewal Penalties | 15,300 | 17,300 | 10,000 | 10,000 |
| Penalties | 102,175 | 9,422 | 3,000 | 5,000 |
| Total | 520,740 | 361,536 | 340,000 | 350,300 |

| | | | | |
|---|-----------|-----------|-----------|-----------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed/New Practitioners | 4,277/550 | 5,658/473 | 4,000/600 | 5,500/700 |
| Examinations: | 8,426 | 8,721 | 8,500 | 9,000 |
| Nationally Prepared (Times Given) | 780 | 526 | 500 | 525 |
| Applicants Examined/Passed (Includes Reexams) | 394/262 | 387/285 | 325/230 | 400/285 |
| State Prepared (Times Given) | 6 | 6 | 6 | 6 |
| Applicants Examined/Passed | 16/16 | 10/10 | 30/30 | 20/20 |
| Applicants Reexamined/Passed | 0/0 | 0/0 | 3/3 | 3/3 |
| Complaints: | | | | |
| Received/Investigated/Resolved | 2/2/2 | 6/6/5 | 15/15/10 | 15/15/10 |
| Hearings Held/Pending | 2/0 | 0/0 | 1/0 | 3/0 |
| Licensees Reprimanded/Probationed | 2/0 | 2/2 | 2/2 | 5/5 |
| Licenses Suspended/Revoked | 0/0 | 1/1 | 1/1 | 1/1 |
| No Action Taken Against Licensee | 0 | 10 | 5 | 10 |
| Total Prosecutions | 2 | 5 | 5 | 5 |
| Inquiries Received and Answered | 2,500 | 3,000 | 3,000 | 3,500 |
| Audits | 78 | 78 | 78 | 78 |
| Applicants Denied SD Licensure | 35 | 20 | 20 | 25 |
| Board Meetings Held | 6 | 6 | 6 | 6 |

LABOR AND REGULATION

1036 Electrical Commission - Info

Mission:

To keep the citizens of our state and their property safe from the hazards associated with using electricity. The Commission administers the state laws and regulations concerning electrical wiring, inspects wiring installations, investigates complaints related to electrical wiring and licenses all electricians within the state.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|---------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 1,778,819 | 1,689,650 | 1,951,310 | 1,951,310 | 1,951,310 | 0 |
| Total | \$ 1,778,819 | \$ 1,689,650 | \$ 1,951,310 | \$ 1,951,310 | \$ 1,951,310 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 1,294,419 | \$ 1,217,960 | \$ 1,451,805 | \$ 1,451,805 | \$ 1,451,805 | \$ 0 |
| Operating Expenses | 484,400 | 471,690 | 499,505 | 499,505 | 499,505 | 0 |
| Total | \$ 1,778,819 | \$ 1,689,650 | \$ 1,951,310 | \$ 1,951,310 | \$ 1,951,310 | \$ 0 |
| Staffing Level FTE: | 21.6 | 19.7 | 23.1 | 23.1 | 23.1 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|--|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Examination Fees | 8,440 | 8,240 | 7,000 | 7,000 |
| Re-examination Fees | 2,480 | 3,040 | 2,000 | 2,000 |
| New License Fees | 26,350 | 39,524 | 18,000 | 18,000 |
| Renewal Fees | 61,540 | 179,806 | 35,000 | 165,000 |
| Miscellaneous Income | 102 | 3,792 | 5,000 | 5,000 |
| Interest Income | 14,892 | 20,993 | 20,000 | 20,000 |
| Inspection Fees | 1,155,780 | 1,168,835 | 1,150,000 | 1,150,000 |
| Reinspection Fees | | 11,491 | 300,000 | 300,000 |
| Wiring Permits | 131,685 | 122,625 | 115,000 | 115,000 |
| Reciprocity Fees | 9,360 | 9,420 | 9,000 | 9,000 |
| Administrative & Re-instatement Penalty Fees | 81,862 | 48,278 | 30,000 | 30,000 |
| Undertaking Fees | 4,900 | 4,250 | 5,000 | 5,000 |
| Total | 1,497,391 | 1,620,294 | 1,696,000 | 1,826,000 |

| | | | | |
|------------------------------------|--------------------|--------------------|------------------|------------------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed/New Practitioners | 1,328/728 5,911 | 3,512/772 4,803 | 900/600 5,000 | 900/600 5,000 |
| Examinations: | | | | |
| Applicants Examined/Passed | 272/154 | 295/152 | 250/100 | 250/100 |
| Applicants Reexamined/Passed | 173/107 | 181/105 | 150/75 | 150/75 |
| Complaints: | | | | |
| Received/Investigated/Resolved | 0/0/0 | 0/0/0 | 5/5/5 | 5/5/5 |
| Hearings Held | 0 | 0 | 1 | 1 |
| Inspections | 38,876 | 39,169 | 35,000 | 35,000 |
| Audits | 1 | 0 | 0 | 1 |
| Applicants Denied SD Licensure | 27 | 14 | 25 | 25 |
| Board Meetings Held | 2 | 2 | 4 | 4 |

LABOR AND REGULATION

1037 Real Estate Commission - Info

Mission:

To protect the interest of the public when engaged in a real estate transaction; to enforce standards for education, licensing, practice of real estate brokers, salespersons, auctioneers, property managers, residential rental agents, home inspectors, property managers, timeshare agents, and the registration of condominium, timeshare, and subdivision projects.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 448,782 | 425,052 | 596,084 | 596,084 | 596,084 | 0 |
| Total | \$ 448,782 | \$ 425,052 | \$ 596,084 | \$ 596,084 | \$ 596,084 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 339,616 | \$ 330,284 | \$ 364,648 | \$ 364,648 | \$ 364,648 | \$ 0 |
| Operating Expenses | 109,166 | 94,767 | 231,436 | 231,436 | 231,436 | 0 |
| Total | \$ 448,782 | \$ 425,052 | \$ 596,084 | \$ 596,084 | \$ 596,084 | \$ 0 |
| Staffing Level FTE: | 5.2 | 5.1 | 5.1 | 5.1 | 5.1 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Application Fees | 88,667 | 90,800 | 87,000 | 89,000 |
| New License Fees | 22,319 | 41,800 | 35,000 | 35,000 |
| Renewal Fees | 226,795 | 273,705 | 230,000 | 270,000 |
| Materials Sold | 11,721 | 11,421 | 12,000 | 12,000 |
| Interest Income | 6,773 | 5,250 | 6,000 | 6,000 |
| Changes of Address | 6,705 | 9,675 | 8,000 | 8,000 |
| Certificates of Licensure | 3,305 | 2,940 | 3,000 | 3,000 |
| Late Renewal Fees | 8,855 | 9,860 | 9,000 | 9,000 |
| Penalties | 14,956 | 25,229 | 20,000 | 20,000 |
| Seminar Income | 25,610 | | | |
| Miscellaneous | | | 5,000 | 5,000 |
| Total | 415,706 | 470,680 | 415,000 | 457,000 |

| | | | | |
|-----------------------------------|-----------|-----------|-----------|-----------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed/New | 1,645/460 | 1,897/398 | 1,700/400 | 1,700/400 |
| Practitioners | 4,014 | 4,089 | 4,000 | 4,000 |
| Examinations: | | | | |
| Nationally Prepared (Times Given) | 494 | 491 | 500 | 500 |
| Applicants Examined/Passed | 494/262 | 482/260 | 450/250 | 450/250 |
| State Prepared (Times Given) | 494 | 491 | 500 | 500 |
| Applicants Examined/Passed | 536/292 | 509/301 | 500/300 | 500/300 |
| Applicants Reexamined/Passed | 217/88 | 202/91 | 250/150 | 250/100 |
| Complaints: | | | | |
| Received/Investigated/Resolved | 90/90/90 | 91/91/91 | 85/85/85 | 85/85/85 |
| Hearings Held/Pending | 0/0 | 1/0 | 1/0 | 1/0 |
| Licensees Reprimanded/Probationed | 85 | 81 | 80 | 80 |
| Licenses Suspended/Revoked | 0 | 1 | 1 | 1 |
| No Action Taken Against Licensee | 5 | 10 | 10 | 10 |
| Inspections (condos) | 3 | 7 | 5 | 5 |
| Audits | 193 | 140 | 180 | 200 |
| Inquiries Received and Answered | 55,682 | 54,982 | 55,000 | 55,000 |
| Applicants Denied SD Licensure | 3 | 3 | 3 | 3 |
| Board Meetings Held | 7 | 6 | 7 | 7 |

LABOR AND REGULATION

1038 Abstracters Bd of Examiners - Info

Mission:

To issue abstracter's licenses to qualified applicants; to examine and license new title plants and those changing ownership to maintain quality and compliance; to monitor and ensure the quality of services provided by licensees; and to promote continuing education for licensees.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 28,096 | 40,081 | 45,921 | 45,921 | 45,921 | 0 |
| Total | \$ 28,096 | \$ 40,081 | \$ 45,921 | \$ 45,921 | \$ 45,921 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 16,524 | \$ 7,137 | \$ 7,296 | \$ 7,296 | \$ 7,296 | \$ 0 |
| Operating Expenses | 11,573 | 32,944 | 38,625 | 38,625 | 38,625 | 0 |
| Total | \$ 28,096 | \$ 40,081 | \$ 45,921 | \$ 45,921 | \$ 45,921 | \$ 0 |
| Staffing Level FTE: | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|--------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Examination Fees | 6,000 | 1,500 | 3,000 | 4,000 |
| Reexamination Fees | 800 | 1,050 | 1,050 | 1,200 |
| New License Fees | 4,925 | 2,152 | 2,152 | 2,300 |
| Renewal Fees | 37,730 | 39,620 | 40,000 | 40,000 |
| Materials Sold | 800 | 5,941 | 1,000 | 1,000 |
| Interest Income | 3,494 | 3,526 | 4,000 | 4,500 |
| Total | 53,749 | 53,789 | 51,202 | 53,000 |

| | | | | |
|---------------------------------|-------|-------|-------|-------|
| PERFORMANCE INDICATORS | | | | |
| Licenses Renewed | 87 | 86 | 86 | 86 |
| New Licenses | 2 | 1 | 1 | 1 |
| Practitioners | 246 | 248 | 248 | 249 |
| Examinations: | | | | |
| State Prepared (Times Given) | 3 | 3 | 3 | 3 |
| Applicants Examined | 29 | 11 | 15 | 15 |
| Applicants Reexamined | 19 | 8 | 10 | 10 |
| Complaints: | | | | |
| Received/Investigated/Resolved | 0/0/0 | 1/1/1 | 1/1/1 | 1/1/1 |
| Hearings Held | 0 | 0 | 0 | 0 |
| Miscellaneous: | | | | |
| Inspections | 3 | 2 | 2 | 2 |
| Inquiries Received and Answered | 125 | 125 | 125 | 125 |
| Board Meetings Held | 3 | 4 | 3 | 3 |

LABOR AND REGULATION

1039 South Dakota Athletic Commission - Info

Mission:

To minimize injury risk and promote safety, to the extent possible, for all participants in the boxing, kickboxing and mixed martial arts competitions through the enforcement of statutes and rules to regulate such events.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 45,131 | 50,768 | 58,065 | 58,065 | 58,065 | 0 |
| Total | \$ 45,131 | \$ 50,768 | \$ 58,065 | \$ 58,065 | \$ 58,065 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 4,457 | \$ 3,920 | \$ 10,679 | \$ 10,679 | \$ 10,679 | \$ 0 |
| Operating Expenses | 40,674 | 46,848 | 47,386 | 47,386 | 47,386 | 0 |
| Total | \$ 45,131 | \$ 50,768 | \$ 58,065 | \$ 58,065 | \$ 58,065 | \$ 0 |
| Staffing Level FTE: | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|--------------------------|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Event Fee | 183,327 | 32,761 | 80,000 | 32,000 |
| Promoter License | 1,200 | 1,800 | 1,500 | 1,500 |
| Matchmaker License | 200 | | 200 | 200 |
| Manager License | 300 | | 100 | 100 |
| Contestant Registration | 6,025 | 5,625 | 6,000 | 6,000 |
| Judge Registration | 550 | 750 | 400 | 400 |
| Referee Registration | 300 | 350 | 400 | 400 |
| Second Registration | 5,000 | 4,250 | 5,000 | 5,000 |
| Timekeeper Registrations | 75 | 75 | 100 | 100 |
| Interest Income | 284 | 1,450 | 1,000 | 1,000 |
| Amateur Exemption Fee | 100 | 100 | 100 | 100 |
| Total | 197,361 | 47,161 | 94,800 | 46,800 |

| | | | | |
|-----------------------------------|-----|-----|-----|-----|
| PERFORMANCE INDICATORS | | | | |
| Events monitored | 6 | 8 | 8 | 8 |
| Promoters Licensed | 4 | 6 | 5 | 5 |
| Contestant Registrations | 120 | 112 | 120 | 120 |
| Matchmakers | 1 | 0 | 1 | 1 |
| Managers | 3 | 0 | 1 | 1 |
| Judges | 11 | 15 | 12 | 12 |
| Referees | 6 | 7 | 8 | 8 |
| Timekeepers | 3 | 3 | 4 | 4 |
| Second Registrations | 201 | 170 | 200 | 200 |
| Amateur Association Exemption Fee | 1 | 1 | 1 | 1 |

LABOR AND REGULATION

10610 Banking

Mission:

To charter, license, regulate, supervise and provide guidance to South Dakota financial entities in order to instill consumer confidence, protect consumer interests and promote economic stability through a common sense, efficient and risk-focused approach.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|---------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 3,100,003 | 3,404,677 | 3,933,415 | 4,068,636 | 4,068,636 | 135,221 |
| Total | \$ 3,100,003 | \$ 3,404,677 | \$ 3,933,415 | \$ 4,068,636 | \$ 4,068,636 | \$ 135,221 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 2,342,380 | \$ 2,594,129 | \$ 3,006,644 | \$ 3,090,025 | \$ 3,090,025 | \$ 83,381 |
| Operating Expenses | 757,624 | 810,548 | 926,771 | 978,611 | 978,611 | 51,840 |
| Total | \$ 3,100,003 | \$ 3,404,677 | \$ 3,933,415 | \$ 4,068,636 | \$ 4,068,636 | \$ 135,221 |
| Staffing Level FTE: | 28.3 | 30.3 | 34.5 | 35.5 | 35.5 | 1.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|--|-------------------|-------------------|----------------------|----------------------|
| REVENUES | | | | |
| Mortgage Lender Renewal and Application | 173,900 | 181,450 | 190,500 | 200,000 |
| Banking Revolving Fund: | | | | |
| Bank Examination Fee | 1,644,971 | 1,696,129 | 1,747,000 | 1,800,000 |
| Trust Company Examination Fee | 306,742 | 443,603 | 465,500 | 490,000 |
| Money Lenders Renewal and Applications | 334,400 | 319,000 | 325,000 | 331,500 |
| Other License Fees | 360 | | | |
| Money Transmitter Renewal and Application | 102,400 | 88,600 | 93,000 | 97,500 |
| Mortgage Broker Renewal and Application | 9,930 | 10,340 | 10,500 | 11,000 |
| Mortgage Loan Originator Renewal and Application | 347,725 | 429,215 | 450,500 | 473,000 |
| Trust Company Supervision Fee | 840,381 | 976,632 | 1,075,000 | 1,180,000 |
| Investment Council Interest | 79,785 | 60,143 | 40,000 | 40,000 |
| Other Banks and Loans | 302 | 168,967 | 1,000 | 1,000 |
| Miscellaneous (Transfer In) | 8,645 | 10,004 | | |
| Trust Company Charter Fees (General Fund) | 35,000 | 25,000 | 20,000 | 20,000 |
| Licensing Examination Fees | 15,686 | 3,705 | 20,000 | 20,000 |
| Total | 3,900,227 | 4,412,788 | 4,438,000 | 4,664,000 |

| | | | | |
|--|-------------------|-------------------|-------------------|-------------------|
| PERFORMANCE INDICATORS | | | | |
| Institutions Examined: | | | | |
| Money Lenders (on-site) | 3 | 9 | 15 | 20 |
| Banks | 17 | 19 | 18 | 18 |
| Trust Companies | 27 | 40 | 37 | 45 |
| Licenses Issued or Renewed: | | | | |
| Money Lenders/Money Orders | 359/87 | 368/99 | 375/103 | 382/109 |
| Mortgage Lenders/Brokers | 256/19 | 306/20 | 321/21 | 337/22 |
| Mortgage Loan Originator | 3,059 | 3,663 | 3,800 | 4,000 |
| Charters Cancelled: Banks/Bank Branches | 0/1 | 3/6 | 2/2 | 2/2 |
| Total Assets of Banks Supervised | \$25,213,000,000 | \$26,798,499,000 | \$27,600,000,000 | \$28,430,000,000 |
| Total Assets of Trust Companies Supervised | \$234,371,588,000 | \$293,512,383,000 | \$322,864,000,000 | \$355,150,000,000 |

LABOR AND REGULATION

10612 Trust Captive Insurance Company - Info

Mission:

To provide insurance coverage for the potential administrative and examination costs associated with the failure of a trust company regulated in South Dakota.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|-------------------|-------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 |
| Other Funds | 133,620 | 151,328 | 173,278 | 183,278 | 183,278 | 10,000 |
| Total | \$ 133,620 | \$ 151,328 | \$ 173,278 | \$ 183,278 | \$ 183,278 | \$ 10,000 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 4,995 | \$ 5,237 | \$ 18,699 | \$ 18,699 | \$ 18,699 | \$ 0 |
| Operating Expenses | 128,625 | 146,090 | 154,579 | 164,579 | 164,579 | 10,000 |
| Total | \$ 133,620 | \$ 151,328 | \$ 173,278 | \$ 183,278 | \$ 183,278 | \$ 10,000 |
| Staffing Level FTE: | 0.1 | 0.1 | 0.0 | 0.0 | 0.0 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------------|-------------------|-------------------|----------------------|----------------------|
| PERFORMANCE INDICATORS | | | | |
| Number of Trust Companies Registered | 89 | 96 | 103 | 110 |
| Number of Claims Submitted to Captive | 0 | 0 | 0 | 0 |

LABOR AND REGULATION

1063 Insurance

Mission:

To protect the public by providing quality assistance, providing fair industry regulation, and promoting healthy and competitive insurance and investment markets in South Dakota.

| | ACTUAL FY 2017 | ACTUAL FY 2018 | BUDGETED FY 2019 | REQUESTED FY 2020 | GOVERNOR'S RECOMMENDED FY 2020 | RECOMMENDED INC/(DEC) FY 2020 |
|----------------------------|---------------------|---------------------|---------------------|----------------------|--------------------------------------|-------------------------------------|
| FUNDING SOURCE: | | | | | | |
| General Funds | \$ 0 | \$ 0 | \$ 0 | \$ 0 | \$ 0 | 0 |
| Federal Funds | 55,528 | 36,513 | 40,910 | 40,910 | 40,910 | 0 |
| Other Funds | 2,714,229 | 2,771,062 | 3,385,692 | 3,385,692 | 3,385,692 | 0 |
| Total | \$ 2,769,756 | \$ 2,807,575 | \$ 3,426,602 | \$ 3,426,602 | \$ 3,426,602 | \$ 0 |
| EXPENDITURE DETAIL: | | | | | | |
| Personal Services | \$ 2,387,267 | \$ 2,273,311 | \$ 2,577,547 | \$ 2,577,547 | \$ 2,577,547 | 0 |
| Operating Expenses | 382,490 | 534,264 | 849,055 | 849,055 | 849,055 | 0 |
| Total | \$ 2,769,756 | \$ 2,807,575 | \$ 3,426,602 | \$ 3,426,602 | \$ 3,426,602 | \$ 0 |
| Staffing Level FTE: | 36.0 | 34.2 | 37.7 | 37.7 | 37.7 | 0.0 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---------------------------------------|--------------------|--------------------|----------------------|----------------------|
| REVENUES | | | | |
| Taxes Collected (General Fund) | 83,020,726 | 90,507,636 | 85,500,000 | 90,500,000 |
| Insurance Operating Fund: | | | | |
| Agent Licensing/Renewal | 9,217,424 | 10,189,167 | 8,200,000 | 9,500,000 |
| Misc and Legal | 14,299 | 11,350 | 13,000 | 14,000 |
| Retaliatory & Filing Fees | 1,192,953 | 1,445,306 | 1,175,000 | 1,250,000 |
| Administrative Penalties | 581,257 | 253,784 | 550,000 | 550,000 |
| Admin, Renewal & Supervision Fees | 273,316 | 243,639 | 259,000 | 265,000 |
| Producer Exam & Course Fees | 33,799 | 59,205 | 32,000 | 45,000 |
| Interest | 17,578 | 15,930 | 20,000 | 15,000 |
| Subsequent Injury Fund: | | | | |
| Sub-Injury Fund Assessment | 500 | 203 | | 3,000,000 |
| Investment Council Interest | 24,086 | 26,250 | 25,000 | 25,000 |
| Continuing Education Fund: | | | | |
| Agent Renewal Fees | 69,820 | 38,350 | 70,000 | 38,000 |
| Special Collections for Workers Comp: | | | | |
| Policy Fee | 270,214 | 289,142 | 265,000 | 275,000 |
| Exam Fund | 1,341,000 | 1,355,000 | 1,340,000 | 1,350,000 |
| Securities Fund: | | | | |
| Inv. Companies Notification Fees | 30,596,150 | 31,166,100 | 32,459,000 | 32,000,000 |
| Registration Fees | 23,775 | 19,777 | 25,000 | 25,000 |
| Broker-Dealer Licensing Fees | 196,200 | 194,100 | 208,060 | 210,000 |
| Agent Licensing Fees | 13,996,150 | 14,715,500 | 14,848,480 | 14,500,000 |
| Name Change Filing Fees | 145,900 | 110,800 | 155,000 | 120,000 |
| Extension of Registration Fees | 5,450 | 4,700 | 6,000 | 6,000 |
| Inv. Adviser Agent Fees | 112,900 | 117,300 | 119,000 | 110,000 |
| Investment Adviser Fees | 182,900 | 186,800 | 194,000 | 194,000 |
| Fines | 13,250 | 41,250 | 14,000 | 40,000 |
| Fund Interest | 44,960 | 45,941 | 47,000 | 44,000 |
| Misc | 106,306 | 8,615 | 2,100 | 8,100 |
| Franchise Fee | 152,150 | 162,300 | 162,000 | 162,000 |
| Other Exemptions | 122,100 | 135,225 | 132,000 | 134,000 |
| Total | 141,755,163 | 151,343,370 | 145,820,640 | 154,380,100 |

PERFORMANCE INDICATORS

| | | | | |
|-------------------------------------|----------|----------|----------|----------|
| Insurance: | | | | |
| Total Licensed/Domestic Companies | 1,390/71 | 1,375/61 | 1,390/70 | 1,385/65 |
| Companies Licensed/Approved Mergers | 29/12 | 18/5 | 20/10 | 20/10 |
| Agent Licenses Issued | 17,668 | 21,866 | 15,000 | 15,500 |

| | ACTUAL FY 2017 | ACTUAL FY 2018 | ESTIMATED FY 2019 | ESTIMATED FY 2020 |
|---|-------------------|-------------------|----------------------|----------------------|
| PERFORMANCE INDICATORS | | | | |
| Agent Appointments Issued | 80,419 | 92,321 | 68,000 | 80,000 |
| Agent Licenses Renewed | 28,100 | 30,944 | 25,000 | 30,000 |
| Renewed Appointments | 228,783 | 246,045 | 210,000 | 230,000 |
| Agent Appointment Cancellations | 62,113 | 63,183 | 60,000 | 62,000 |
| Property/Casualty Filings Reviewed | 6,293 | 5,882 | 7,000 | 6,000 |
| Life/Health Filings Reviewed | 2,964 | 3,099 | 3,000 | 3,000 |
| Consumer Complaints Closed | 545 | 583 | 660 | 650 |
| Enforcement/Closed Files | 1,318 | 1,459 | 1,100 | 1,400 |
| Continuing Education: | | | | |
| Agents Paying License Renewal | 3,621 | 2,053 | 3,400 | 2,000 |
| Agents Exempt | 284 | 277 | 305 | 300 |
| Courses Reviewed | 691 | 876 | 700 | 750 |
| Transfer to General Fund (SDCL 4-4-4.4) | \$53,971,587 | \$55,889,544 | \$54,504,038 | \$55,075,498 |
| Subsequent Injury Fund: | | | | |
| New Claims | 1 | 1 | 2 | 1 |
| Claims Paid | 57 | 50 | 65 | 60 |
| Dollars Paid | \$1,089,621 | \$1,089,452 | \$1,500,000 | \$1,200,000 |
| Securities: | | | | |
| New Securities Applications | 18 | 19 | 20 | 20 |
| Extension and Amendments | 53 | 48 | 45 | 43 |
| Private Placement/Other Exemptions | 0/417 | 0/451 | 0/475 | 0/485 |
| Invest. Co. Notice Filings-New/Total | 3,801/26,640 | 3,083/25,167 | 3,100/25,500 | 3,100/25,750 |
| New Franchise Applications/Renewals | 236/604 | 242/653 | 245/675 | 255/700 |
| Broker-Dealers/B-D Agents Licensed | 1,234/94,217 | 1,241/99,042 | 1,275/95,500 | 1,275/95,500 |
| Investment Advisors/IA Agents Licensed | 52/1,939 | 53/1,914 | 55/1,850 | 55/1,850 |
| Investment Advisors Notice Filings | 844 | 858 | 875 | 875 |
| Investigations | 84 | 68 | 85 | 90 |
| Compliance Exams | 19 | 51 | 65 | 65 |