

## LABOR AND REGULATION

### 10 LABOR AND REGULATION

**Mission:**

The mission of the Department of Labor and Regulation is to promote economic opportunity and financial security for individuals and businesses through quality, responsive and expert services; fair and equitable employment solutions; and safe and sound business practices.

LEGAL CITATION: The Department of Labor and Regulation is structured by virtue of Executive Order 2011-01. SDCL 1-37 established the secretary as the department head. Laws governing department divisions are: SDCL 47-31B; SDCL 51A-2; SDCL 58-2; SDCL 60-1 through 60-6, 60-6A, 60-9, 60-9A, 60-10 through 60-12, 3-18, 3-12, 3-12A, 3-12A, and 1-35-8; and SDCL Titles 61 and 62.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 2,039,124	\$ 1,954,498	\$ 1,963,362	\$ 1,963,362	\$ 2,178,362	\$ 215,000
Federal Funds	25,165,426	26,632,679	30,577,185	30,913,613	30,490,113	( 87,072 )
Other Funds	11,010,445	10,846,809	12,689,228	12,883,469	12,883,469	194,241
<b>Total</b>	<b>\$ 38,214,995</b>	<b>\$ 39,433,986</b>	<b>\$ 45,229,775</b>	<b>\$ 45,760,444</b>	<b>\$ 45,551,944</b>	<b>\$ 322,169</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 23,854,572	\$ 24,080,108	\$ 27,589,107	\$ 27,648,372	\$ 27,663,372	\$ 74,265
Operating Expenses	14,360,423	15,353,878	17,640,668	18,112,072	17,888,572	247,904
<b>Total</b>	<b>\$ 38,214,995</b>	<b>\$ 39,433,986</b>	<b>\$ 45,229,775</b>	<b>\$ 45,760,444</b>	<b>\$ 45,551,944</b>	<b>\$ 322,169</b>
<b>Staffing Level FTE:</b>	<b>396.3</b>	<b>392.5</b>	<b>425.3</b>	<b>425.6</b>	<b>425.6</b>	<b>0.3</b>

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## 1001 Secretariat Administration

### Mission:

To improve the administration of and provide centralized support services for the Department of Labor and Regulation's programs and occupational licensing boards and commissions; to develop a skilled workforce through job training and employment services; to collect, analyze, and provide labor market information; to certify, license, and register real estate appraisers; and to provide integrated financial, legal, and public affairs support across the department.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 658,473	\$ 557,740	\$ 559,043	\$ 559,043	\$ 774,043	\$ 215,000
Federal Funds	9,033,539	9,907,926	11,129,138	11,552,638	11,129,138	0
Other Funds	221,992	237,522	317,771	317,771	317,771	0
<b>Total</b>	<b>\$ 9,914,004</b>	<b>\$ 10,703,188</b>	<b>\$ 12,005,952</b>	<b>\$ 12,429,452</b>	<b>\$ 12,220,952</b>	<b>\$ 215,000</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 3,267,085	\$ 3,389,324	\$ 3,564,059	\$ 3,564,059	\$ 3,579,059	\$ 15,000
Operating Expenses	6,646,919	7,313,864	8,441,893	8,865,393	8,641,893	200,000
<b>Total</b>	<b>\$ 9,914,004</b>	<b>\$ 10,703,188</b>	<b>\$ 12,005,952</b>	<b>\$ 12,429,452</b>	<b>\$ 12,220,952</b>	<b>\$ 215,000</b>
Staffing Level FTE:	51.9	51.8	52.6	52.6	52.6	0.0

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Appraiser Certification:				
New Application Fees	5,735	8,745	7,000	7,000
Renewal Fees	122,900	127,605	127,000	127,000
Investment Council Interest	3,506	3,400	3,400	3,400
Risk Retention Group Lic	3,010	2,416	2,416	2,416
Reciprocity Fees	10,500	11,900	11,900	11,900
Temporary Fees	23,200	24,800	24,000	24,000
Penalty/Discipline Fees	4,200	3,750	3,000	3,000
Course Fees	7,200	7,300	7,000	7,000
Penalty/Renewals	425	425	425	425
7 hour USPAP Course Penalty	200	1,900	200	200
Contested Case Assessment	2,333			
Supervisor/Trainee Applications	900	900	900	900
Supervisor Renewal	5,000	4,500	4,500	4,500
Supervisor Renewal Late Fee		25	25	25
Appraisal Management Fund				
New Application Fees	6,050	9,000	6,000	6,000
License Renewal Fees	70,500	70,500	70,500	70,500
Late Renewal Fees	300	50	50	50
Investment Council Interest	2,222	3,423	3,400	3,400
Monetary Penalty		750		
<b>Total</b>	<b>268,181</b>	<b>281,389</b>	<b>271,716</b>	<b>271,716</b>

### PERFORMANCE INDICATORS

State Labor Force	453,555	457,835	458,904	461,567
Employed Labor Force	439,158	442,623	444,104	447,067
Unemployed Labor Force	14,397	15,212	14,800	14,500
Unemployment Rate	3.2%	3.3%	3.2%	3.1%
Adult Education and Literacy Enrollments	2,755	2,172	2,250	2,350
Appraisers--New/Renewed Licenses	19/378	14/387	10/387	10/387
Complaints Received (Appraisers)	13	5	5	5
Upgrade/New Application Reviews	10/1	8/1	8/1	8/1
Midway Review	0	6	6	6
Reciprocity/Temporary	24/116	28/124	28/124	28/124
Course Applications	144	146	146	146
Supervisor/Trainees (New/Renewed)	2/48	6/49	6/49	6/49

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>PERFORMANCE INDICATORS</b>				
Appraisal Management new applications	7	9	7	7
Appraisal Management renewals	95	94	94	94

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## 1004 Unemployment Insurance Service

### Mission:

To provide economic support to workers and protect the interest of workers and businesses by determining UI eligibility and liability, collecting taxes, making payments and ensuring compliance all through exceptional service.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 1,372	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	5,448,959	6,437,356	6,873,336	6,786,264	6,786,264	( 87,072 )
Other Funds	0	0	0	0	0	0
<b>Total</b>	<b>\$ 5,448,959</b>	<b>\$ 6,438,728</b>	<b>\$ 6,873,336</b>	<b>\$ 6,786,264</b>	<b>\$ 6,786,264</b>	<b>(\$ 87,072 )</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 3,628,381	\$ 3,801,103	\$ 4,231,477	\$ 4,149,185	\$ 4,149,185	(\$ 82,292 )
Operating Expenses	1,820,577	2,637,624	2,641,859	2,637,079	2,637,079	( 4,780 )
<b>Total</b>	<b>\$ 5,448,959</b>	<b>\$ 6,438,728</b>	<b>\$ 6,873,336</b>	<b>\$ 6,786,264</b>	<b>\$ 6,786,264</b>	<b>(\$ 87,072 )</b>
<b>Staffing Level FTE:</b>	<b>64.8</b>	<b>66.5</b>	<b>74.0</b>	<b>72.0</b>	<b>72.0</b>	<b>( 2.0 )</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>PERFORMANCE INDICATORS</b>				
Applications for Benefits	14,618	12,254	13,000	13,000
Number of Weekly Payments	89,579	81,583	85,000	85,000
Average Weekly Payment	\$324	\$332	\$342	\$352
Average Number of Weekly Payments	13.7	14.7	14.0	14.0
Average Benefit Payment	\$4,378	\$4,792	\$4,700	\$4,850
Individuals Receiving Payments	6,519	5,559	6,000	6,000
% of First Payments Made Within 14 Days	95.8%	95.3%	95.3%	95.3%
Total Dollars Paid*	\$28,245,468	\$25,963,739	\$29,500,000	\$30,900,000
Fed. Claims Reimbursed by Fed. Government	\$1,709,616	\$1,752,814	\$1,770,000	\$1,820,000
State/Nonprofit Claims Reimbursed by Employer	\$2,250,115	\$1,627,370	\$1,720,000	\$1,800,000
Number of Covered Employers	27,517	27,944	28,400	28,850
UI Taxes Paid	\$38,153,514	\$31,449,919	\$30,900,000	\$31,300,000
Trust Fund Balance	\$113,566,320	\$120,228,233	\$124,300,000	\$127,500,000

\* Does not include Federal programs and fund transfers between states for interstate claims.

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## 1005 Field Operations

### Mission:

To achieve a skilled workforce contributing to economic development by efficiently and respectfully serving businesses, job seekers, and community partners through innovative workforce development solutions and serving as an information resource.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 655,578	\$ 660,435	\$ 666,255	\$ 666,255	\$ 666,255	\$ 0
Federal Funds	10,555,045	10,207,173	12,233,358	12,233,358	12,233,358	0
Other Funds	0	0	0	0	0	0
<b>Total</b>	<b>\$ 11,210,623</b>	<b>\$ 10,867,608</b>	<b>\$ 12,899,613</b>	<b>\$ 12,899,613</b>	<b>\$ 12,899,613</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 8,785,311	\$ 8,666,452	\$ 10,209,355	\$ 10,209,355	\$ 10,209,355	\$ 0
Operating Expenses	2,425,312	2,201,156	2,690,258	2,690,258	2,690,258	0
<b>Total</b>	<b>\$ 11,210,623</b>	<b>\$ 10,867,608</b>	<b>\$ 12,899,613</b>	<b>\$ 12,899,613</b>	<b>\$ 12,899,613</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>159.5</b>	<b>155.8</b>	<b>166.0</b>	<b>166.0</b>	<b>166.0</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>PERFORMANCE INDICATORS</b>				
SDWORKS Participants				
Job Orders Received by DLR Externally+	5,000	11,890	20,000	25,000
SDWORKS Participants				
Staff Assisted/Self-Served*	N/A	16,957/12,981	18,000/14,000	18,500/14,500
Wagner-Peyser Employment Services (ES)				
Employment Rate After Exit Qtr 2/Qtr 4*	N/A	76.1%/73.7%	70.0%/61.4%	70.0%/61.4%
Workforce Innovation & Opportunity Act (WIOA)				
Participants Adult/Dislocated/Youth	516/93/225	1,077/244/368	1,200/250/450	1,250/275/550
WIOA Adult Employment Rate Qtr 2/Qtr 4*	N/A	79.0%/75.0%	77.7%/76.4%	77.7%/76.4%
WIOA Youth Employment Rate Qtr 2/Qtr 4*	N/A	77.0%/75.3%	73.6%/73.5%	73.6%/73.5%
WIOA Dislocated Employment Rate Qtr 2/Qtr 4*	N/A	85.4%/88.9%	87.5%/84.0%	87.5%/84.0%
TANF Recipients Served/Work Activity	1,088/2,351	616/2,392	700/2,500	700/2,500
TANF Avg Statewide Participation Rate (FFY)	50%	50%	50%	50%
SNAP Recipients Served/Work Activity	8,095/3,564	3,440/2,187	3,500/2,500	3,500/2,500
Eligible Veteran Participants	373	176	250	250
UI Recipients Referred to Reemploy. Svcs	3,704	3,099	3,000	3,000
Dakota Roots Participants Entered Empl.~	242	172	225	250

+Job Orders Received split into two performance indicators, internal and external, starting in April 2017.

\*New reporting requirements passed under the Workforce Innovation and Opportunity Act (WIOA) were implemented in FY2017, resulting in changed in performance measurements per the new standards.

~Reported by calendar year

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## 1006 State Labor Law Administration

### Mission:

To responsively provide dispute resolution and help people through investigations, enforcement, compliance, and education of workforce and discrimination law.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 725,073	\$ 734,951	\$ 738,064	\$ 738,064	\$ 738,064	\$ 0
Federal Funds	72,356	43,712	300,443	300,443	300,443	0
Other Funds	476,811	366,165	510,202	510,202	510,202	0
<b>Total</b>	<b>\$ 1,274,239</b>	<b>\$ 1,144,827</b>	<b>\$ 1,548,709</b>	<b>\$ 1,548,709</b>	<b>\$ 1,548,709</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 855,245	\$ 876,195	\$ 1,142,587	\$ 1,134,477	\$ 1,134,477	(\$ 8,110)
Operating Expenses	418,995	268,633	406,122	414,232	414,232	8,110
<b>Total</b>	<b>\$ 1,274,239</b>	<b>\$ 1,144,827</b>	<b>\$ 1,548,709</b>	<b>\$ 1,548,709</b>	<b>\$ 1,548,709</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>12.9</b>	<b>12.8</b>	<b>15.3</b>	<b>15.3</b>	<b>15.3</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Workers' Compensation (WC) Self-Insurance				
Application Fees	18,000	26,000	24,000	24,000
WC Insurance Policy Fees	270,214	289,142	290,000	290,000
WC Managed Care Plan Fees	2,500	2,500	2,500	2,500
Interest Income	15,789	12,538	12,000	12,000
First Report Late Filing Fines	41,200	19,900	20,000	20,000
<b>Total</b>	<b>347,703</b>	<b>350,080</b>	<b>348,500</b>	<b>348,500</b>

<b>PERFORMANCE INDICATORS</b>				
Collective Bargaining Filings of Petitions for Hearing	30	32	30	30
Collective Bargaining Petitions Settled or Dismissed Prior to Hearing	2	3	3	3
Hearings Held to Arbitrate, Mediate, or Conduct Collective Bargaining Matters and Render Decisions	16	14	15	15
Wage Inquiries/Wage Law Complaints Filed	6,100/300	6,140/280	6,100/250	6,100/250
Private Industry Employees Affected by WC	355,800	368,000	380,000	380,000
Private Industry WC First Reports of Injury	17,416	18,585	18,500	18,500
New Filings of Private Industry WC Petitions	145	138	140	140
Private Industry WC Claims Settled or Dismissed Prior to Hearing	129	132	135	135
Private Industry WC Hrng Petitions Pending	381	375	380	380
Private Industry WC Claims Resulting in a Formal Hearing	1	4	5	5
Hearings Held to Mediate WC Matters	39	40	40	40
UI Appeals Filings of Petitions for Hearing	914	750	850	850
UI Appeals Resulting in Final Order of Decision	925	751	800	800
UI Appeals Pending	42	35	40	40
Human Rights Charges Received/Conciliated	259/2	280/1	275/2	275/2
Human Rights Case Closures	46	60	60	60
Human Rights Unsuccessful Conciliations	1	0	1	1
WC Independent Contractor Applications	351	225	250	250
WC Agreements Reviewed/Approved	698/542	684/530	700/600	700/600

# LABOR AND REGULATION

## 1031 Board of Accountancy - Info

### Mission:

To protect the citizens of South Dakota from receiving inadequate accounting services by licensing qualified accountant applicants, monitoring continuing professional education and annual reporting requirements, and enforcing statutes and rules promulgated to regulate the practice of public accountancy.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	256,744	280,398	332,081	332,081	332,081	0
<b>Total</b>	<b>\$ 256,744</b>	<b>\$ 280,398</b>	<b>\$ 332,081</b>	<b>\$ 332,081</b>	<b>\$ 332,081</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 115,829	\$ 125,935	\$ 155,902	\$ 155,902	\$ 155,902	\$ 0
Operating Expenses	140,914	154,463	176,179	176,179	176,179	0
<b>Total</b>	<b>\$ 256,744</b>	<b>\$ 280,398</b>	<b>\$ 332,081</b>	<b>\$ 332,081</b>	<b>\$ 332,081</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>1.8</b>	<b>1.9</b>	<b>2.6</b>	<b>2.6</b>	<b>2.6</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Examination Fees	22,269	22,790	20,000	20,000
Reexamination Fees	51,231	44,860	49,000	47,000
New License Fees	3,600	2,850	3,000	3,000
Renewal Fees	205,070	173,490	195,000	195,000
Interest Income	5,466	5,470	4,000	4,500
Peer Review	5,175	4,350	4,000	4,000
Board Exam Fee	11,980	11,040	12,000	11,500
Name Changes	275	250	100	100
Late Fees	4,350	4,600	4,500	4,500
Legal Recovery cost	1,050	1,650	1,000	1,000
Refund of Prior Year's Expenses		695		
<b>Total</b>	<b>310,466</b>	<b>272,045</b>	<b>292,600</b>	<b>290,600</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed	1,995	2,043	1,800	1,900
New Licenses	81	76	70	70
Practitioners	1,890	1,923	1,750	1,750
Examinations:				
Nationally Prepared (Times Given)	4	4	4	4
Total Applicants Examined	100	92	95	95
Applicants Passed (Includes Reexams)	59	57	50	50
Score Required for Passing	75%	75%	75%	75%
Complaints:				
Received/Investigated/Resolved	18/18/8	15/15/14	12/12/11	12/12/11
Hearings Held/Pending	0/0	0/0	0/0	0/0
Licensees Reprimanded/Probationed	4/0	9/0	0/0	0/0
Licenses Suspended/Revoked	0/0	0/0	0/0	0/0
No Action Taken Against Licensee	0	1	0	0
Prosecutions	0	0	0	0
Miscellaneous:				
Peer Review	69	70	55	58
Inquiries Received and Answered	7,440	7,440	7,440	7,440
Applicants Denied Licensure	0	0	0	0
Board Meetings Held	9	10	10	10
CPE Audits	104	109	110	110

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## 1032 Board of Barber Examiners - Info

### Mission:

The Board of Barber Examiners protects the health and safety of the consumer public by licensure of qualified persons, licensing and inspection of barbershop facilities, and enforcement of the statutes, rules and regulations governing the practice of barbering including the appropriate resolution of complaints.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	27,911	30,529	31,130	24,212	24,212	( 6,918 )
<b>Total</b>	<b>\$ 27,911</b>	<b>\$ 30,529</b>	<b>\$ 31,130</b>	<b>\$ 24,212</b>	<b>\$ 24,212</b>	<b>(\$ 6,918 )</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 4,363	\$ 4,212	\$ 4,548	\$ 14,896	\$ 14,896	\$ 10,348
Operating Expenses	23,548	26,317	26,582	9,316	9,316	( 17,266 )
<b>Total</b>	<b>\$ 27,911</b>	<b>\$ 30,529</b>	<b>\$ 31,130</b>	<b>\$ 24,212</b>	<b>\$ 24,212</b>	<b>(\$ 6,918 )</b>
<b>Staffing Level FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.2</b>	<b>0.2</b>	<b>0.2</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Application Fees	200	850	200	200
Renewal Fees	20,100	17,230	20,625	20,625
Interest Income	669	559	680	680
Reciprocity Fees/Transfer License	915	1,565	1,050	1,050
New Shop Inspection	1,000	1,000	625	625
Expired License Fees	96	84	96	96
Restoration Fees	180	395	225	225
Fee for privately owned barber chairs	1,570	1,370	1,570	1,570
Certificate Fee		150		
<b>Total</b>	<b>24,730</b>	<b>23,203</b>	<b>25,071</b>	<b>25,071</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed/New	306/6	302/10	302/10	302/10
Practitioners	170	177	177	185
Examinations:				
Nationally Prepared (Times Given)	0	1	0	2
Applicants Examined	0	2	0	4
Applicants Passed (Includes Reexams)	0	2	0	4
Inspections	94	93	93	95
Inquiries Received and Answered	510	510	510	510
Board Meetings Held	3	2	2	2



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## 1033 Cosmetology Commission - Info

### Mission:

To ensure the health and safety of our citizens as they use cosmetology, esthetics, and nail technology services.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	331,351	336,618	332,274	354,504	354,504	22,230
<b>Total</b>	<b>\$ 331,351</b>	<b>\$ 336,618</b>	<b>\$ 332,274</b>	<b>\$ 354,504</b>	<b>\$ 354,504</b>	<b>\$ 22,230</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 203,390	\$ 214,812	\$ 207,839	\$ 230,069	\$ 230,069	\$ 22,230
Operating Expenses	127,961	121,806	124,435	124,435	124,435	0
<b>Total</b>	<b>\$ 331,351</b>	<b>\$ 336,618</b>	<b>\$ 332,274</b>	<b>\$ 354,504</b>	<b>\$ 354,504</b>	<b>\$ 22,230</b>
<b>Staffing Level FTE:</b>	<b>4.1</b>	<b>4.3</b>	<b>3.7</b>	<b>4.3</b>	<b>4.3</b>	<b>0.6</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Examination Fees	15,200	16,560	16,000	16,000
Reexamination Fees	1,305	2,390	1,500	1,500
New License Fees (no temp fees)	27,557	24,851	28,000	25,000
Renewal Fees (has dup fees)	213,221	195,520	210,000	196,000
Materials Sold/Miscellaneous	481	2,519	500	2,500
Interest Income	3,768	2,909	3,800	2,700
Temporary Licenses	1,776	1,266	1,800	1,500
Certifications	1,980	2,160	2,000	2,100
Reciprocity	11,800	7,900	12,000	11,000
Penalty Fees	30,820	49,200	32,000	49,000
<b>Total</b>	<b>307,908</b>	<b>305,275</b>	<b>307,600</b>	<b>307,300</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed/New	8,843/886	8,146/893	8,500/825	8,500/825
Practitioners	6,890	6,210	6,800	6,800
Examinations:				
Nationally Prepared (Times Given)	11	11	12	12
Applicants Examined/Passed	308/296	286/275	310/300	310/300
State Prepared (Times Given)	11	11	12	12
Applicants Examined/Passed	340/331	300/295	340/330	340/330
Applicants Reexamined/Passed	40/36	32/29	40/35	40/35
Complaints (calendar year):				
Received/Investigated/Resolved	43/43/29	52/52/43	30/30/27	30/30/27
Hearings Held/Pending	1/0	0/0	2/0	2/0
Licensees Reprimanded/Probationed	1/0	43/0	0/0	0/0
Licenses Suspended/Revoked	12/0	0/0	12/0	12/0
Inspections/Audits	1,775	1,900	1,800	1,800
Inquiries Received and Answered	17,500	17,400	17,700	17,700
Board Meetings Held	8	6	6	6

# LABOR AND REGULATION

## 1034 Plumbing Commission - Info

### Mission:

To keep the citizens of our state and their property safe from hazards associated with unsafe drinking water and unsafe waste disposal facilities.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	660,628	661,991	635,454	635,454	635,454	0
<b>Total</b>	<b>\$ 660,628</b>	<b>\$ 661,991</b>	<b>\$ 635,454</b>	<b>\$ 635,454</b>	<b>\$ 635,454</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 445,871	\$ 405,310	\$ 433,351	\$ 433,351	\$ 433,351	\$ 0
Operating Expenses	214,757	256,681	202,103	202,103	202,103	0
<b>Total</b>	<b>\$ 660,628</b>	<b>\$ 661,991</b>	<b>\$ 635,454</b>	<b>\$ 635,454</b>	<b>\$ 635,454</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>7.0</b>	<b>7.0</b>	<b>7.1</b>	<b>7.1</b>	<b>7.1</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Examination Fees	15,500	13,800	15,500	15,500
Reexamination Fees	300	800	300	300
New License Fees	29,540	29,295	29,500	29,500
Renewal Fees	289,665	297,345	290,000	290,000
Materials Sold	73,831	18,365	16,000	16,000
Interest Income	2,484	2,933	2,500	2,500
Temporary Licenses	300	550	300	300
License Directories/Seminar Registrations	50	50	50	50
Reciprocity Fees	11,970	5,700	11,900	11,900
Inspection Certificates	16,050	15,215	16,000	16,000
Inspection Fees	264,070	262,751	264,000	264,000
Misc Income	160	234	160	160
<b>Total</b>	<b>703,920</b>	<b>647,038</b>	<b>646,210</b>	<b>646,210</b>
<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed	2,394	2,561	2,500	2,500
New Licenses	418	311	420	400
Practitioners	2,792	2,872	2,800	2,800
Examinations:				
State Prepared (Times Given)	47	37	40	40
Applicants Examined/Passed	148/141	125/112	130/120	130/120
Applicants Reexamined/Passed	3/3	8/8	3/3	3/3
Complaints:				
Received/Investigated/Resolved	18/18/18	14/14/14	15/15/15	15/15/15
Prosecutions	0	0	0	0
Miscellaneous:				
Inspections	6,601	6,199	6,600	6,600
Inquiries Received and Answered	3,708	4,005	4,000	4,000
Applicants Denied SD Licensure	3	0	3	3
Board Meetings Held	4	4	4	4

# LABOR AND REGULATION

## 1035 Board of Technical Professions - Info

### Mission:

To protect the consumer from using the services of unqualified professional engineers, land surveyors, architects, landscape architects, and petroleum release assessors and remediators by the administration of examinations and registrations of qualified people; to promote high levels of professionalism through communication of board activities with registrants and the public; and, to conduct disciplinary actions.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	786,327	400,968	386,551	420,259	420,259	33,708
<b>Total</b>	<b>\$ 786,327</b>	<b>\$ 400,968</b>	<b>\$ 386,551</b>	<b>\$ 420,259</b>	<b>\$ 420,259</b>	<b>\$ 33,708</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 159,439	\$ 164,787	\$ 202,671	\$ 236,379	\$ 236,379	\$ 33,708
Operating Expenses	626,888	236,181	183,880	183,880	183,880	0
<b>Total</b>	<b>\$ 786,327</b>	<b>\$ 400,968</b>	<b>\$ 386,551</b>	<b>\$ 420,259</b>	<b>\$ 420,259</b>	<b>\$ 33,708</b>
<b>Staffing Level FTE:</b>	<b>3.2</b>	<b>3.2</b>	<b>3.6</b>	<b>4.1</b>	<b>4.1</b>	<b>0.5</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Application Fees	67,425	74,340	70,000	75,000
Renewal Fees	326,080	250,170	250,000	250,000
Interest Income	9,760	10,304	7,000	10,300
Late Renewal Penalties	15,300	17,300	10,000	10,000
Penalties	102,175	9,422	3,000	5,000
<b>Total</b>	<b>520,740</b>	<b>361,536</b>	<b>340,000</b>	<b>350,300</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed/New Practitioners	4,277/550	5,658/473	4,000/600	5,500/700
Examinations:	8,426	8,721	8,500	9,000
Nationally Prepared (Times Given)	780	526	500	525
Applicants Examined/Passed (Includes Reexams)	394/262	387/285	325/230	400/285
State Prepared (Times Given)	6	6	6	6
Applicants Examined/Passed	16/16	10/10	30/30	20/20
Applicants Reexamined/Passed	0/0	0/0	3/3	3/3
Complaints:				
Received/Investigated/Resolved	2/2/2	6/6/5	15/15/10	15/15/10
Hearings Held/Pending	2/0	0/0	1/0	3/0
Licensees Reprimanded/Probationed	2/0	2/2	2/2	5/5
Licenses Suspended/Revoked	0/0	1/1	1/1	1/1
No Action Taken Against Licensee	0	10	5	10
Total Prosecutions	2	5	5	5
Inquiries Received and Answered	2,500	3,000	3,000	3,500
Audits	78	78	78	78
Applicants Denied SD Licensure	35	20	20	25
Board Meetings Held	6	6	6	6

# LABOR AND REGULATION

## 1036 Electrical Commission - Info

### Mission:

To keep the citizens of our state and their property safe from the hazards associated with using electricity. The Commission administers the state laws and regulations concerning electrical wiring, inspects wiring installations, investigates complaints related to electrical wiring and licenses all electricians within the state.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	1,778,819	1,689,650	1,951,310	1,951,310	1,951,310	0
<b>Total</b>	<b>\$ 1,778,819</b>	<b>\$ 1,689,650</b>	<b>\$ 1,951,310</b>	<b>\$ 1,951,310</b>	<b>\$ 1,951,310</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 1,294,419	\$ 1,217,960	\$ 1,451,805	\$ 1,451,805	\$ 1,451,805	\$ 0
Operating Expenses	484,400	471,690	499,505	499,505	499,505	0
<b>Total</b>	<b>\$ 1,778,819</b>	<b>\$ 1,689,650</b>	<b>\$ 1,951,310</b>	<b>\$ 1,951,310</b>	<b>\$ 1,951,310</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>21.6</b>	<b>19.7</b>	<b>23.1</b>	<b>23.1</b>	<b>23.1</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Examination Fees	8,440	8,240	7,000	7,000
Re-examination Fees	2,480	3,040	2,000	2,000
New License Fees	26,350	39,524	18,000	18,000
Renewal Fees	61,540	179,806	35,000	165,000
Miscellaneous Income	102	3,792	5,000	5,000
Interest Income	14,892	20,993	20,000	20,000
Inspection Fees	1,155,780	1,168,835	1,150,000	1,150,000
Reinspection Fees		11,491	300,000	300,000
Wiring Permits	131,685	122,625	115,000	115,000
Reciprocity Fees	9,360	9,420	9,000	9,000
Administrative & Re-instatement Penalty Fees	81,862	48,278	30,000	30,000
Undertaking Fees	4,900	4,250	5,000	5,000
<b>Total</b>	<b>1,497,391</b>	<b>1,620,294</b>	<b>1,696,000</b>	<b>1,826,000</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed/New Practitioners	1,328/728 5,911	3,512/772 4,803	900/600 5,000	900/600 5,000
Examinations:				
Applicants Examined/Passed	272/154	295/152	250/100	250/100
Applicants Reexamined/Passed	173/107	181/105	150/75	150/75
Complaints:				
Received/Investigated/Resolved	0/0/0	0/0/0	5/5/5	5/5/5
Hearings Held	0	0	1	1
Inspections	38,876	39,169	35,000	35,000
Audits	1	0	0	1
Applicants Denied SD Licensure	27	14	25	25
Board Meetings Held	2	2	4	4

# LABOR AND REGULATION

## 1037 Real Estate Commission - Info

### Mission:

To protect the interest of the public when engaged in a real estate transaction; to enforce standards for education, licensing, practice of real estate brokers, salespersons, auctioneers, property managers, residential rental agents, home inspectors, property managers, timeshare agents, and the registration of condominium, timeshare, and subdivision projects.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	448,782	425,052	596,084	596,084	596,084	0
<b>Total</b>	<b>\$ 448,782</b>	<b>\$ 425,052</b>	<b>\$ 596,084</b>	<b>\$ 596,084</b>	<b>\$ 596,084</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 339,616	\$ 330,284	\$ 364,648	\$ 364,648	\$ 364,648	\$ 0
Operating Expenses	109,166	94,767	231,436	231,436	231,436	0
<b>Total</b>	<b>\$ 448,782</b>	<b>\$ 425,052</b>	<b>\$ 596,084</b>	<b>\$ 596,084</b>	<b>\$ 596,084</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>5.2</b>	<b>5.1</b>	<b>5.1</b>	<b>5.1</b>	<b>5.1</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Application Fees	88,667	90,800	87,000	89,000
New License Fees	22,319	41,800	35,000	35,000
Renewal Fees	226,795	273,705	230,000	270,000
Materials Sold	11,721	11,421	12,000	12,000
Interest Income	6,773	5,250	6,000	6,000
Changes of Address	6,705	9,675	8,000	8,000
Certificates of Licensure	3,305	2,940	3,000	3,000
Late Renewal Fees	8,855	9,860	9,000	9,000
Penalties	14,956	25,229	20,000	20,000
Seminar Income	25,610			
Miscellaneous			5,000	5,000
<b>Total</b>	<b>415,706</b>	<b>470,680</b>	<b>415,000</b>	<b>457,000</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed/New	1,645/460	1,897/398	1,700/400	1,700/400
Practitioners	4,014	4,089	4,000	4,000
Examinations:				
Nationally Prepared (Times Given)	494	491	500	500
Applicants Examined/Passed	494/262	482/260	450/250	450/250
State Prepared (Times Given)	494	491	500	500
Applicants Examined/Passed	536/292	509/301	500/300	500/300
Applicants Reexamined/Passed	217/88	202/91	250/150	250/100
Complaints:				
Received/Investigated/Resolved	90/90/90	91/91/91	85/85/85	85/85/85
Hearings Held/Pending	0/0	1/0	1/0	1/0
Licensees Reprimanded/Probationed	85	81	80	80
Licenses Suspended/Revoked	0	1	1	1
No Action Taken Against Licensee	5	10	10	10
Inspections (condos)	3	7	5	5
Audits	193	140	180	200
Inquiries Received and Answered	55,682	54,982	55,000	55,000
Applicants Denied SD Licensure	3	3	3	3
Board Meetings Held	7	6	7	7

# LABOR AND REGULATION

## 1038 Abstracters Bd of Examiners - Info

### Mission:

To issue abstracter's licenses to qualified applicants; to examine and license new title plants and those changing ownership to maintain quality and compliance; to monitor and ensure the quality of services provided by licensees; and to promote continuing education for licensees.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	28,096	40,081	45,921	45,921	45,921	0
<b>Total</b>	<b>\$ 28,096</b>	<b>\$ 40,081</b>	<b>\$ 45,921</b>	<b>\$ 45,921</b>	<b>\$ 45,921</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 16,524	\$ 7,137	\$ 7,296	\$ 7,296	\$ 7,296	\$ 0
Operating Expenses	11,573	32,944	38,625	38,625	38,625	0
<b>Total</b>	<b>\$ 28,096</b>	<b>\$ 40,081</b>	<b>\$ 45,921</b>	<b>\$ 45,921</b>	<b>\$ 45,921</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Examination Fees	6,000	1,500	3,000	4,000
Reexamination Fees	800	1,050	1,050	1,200
New License Fees	4,925	2,152	2,152	2,300
Renewal Fees	37,730	39,620	40,000	40,000
Materials Sold	800	5,941	1,000	1,000
Interest Income	3,494	3,526	4,000	4,500
<b>Total</b>	<b>53,749</b>	<b>53,789</b>	<b>51,202</b>	<b>53,000</b>

<b>PERFORMANCE INDICATORS</b>				
Licenses Renewed	87	86	86	86
New Licenses	2	1	1	1
Practitioners	246	248	248	249
Examinations:				
State Prepared (Times Given)	3	3	3	3
Applicants Examined	29	11	15	15
Applicants Reexamined	19	8	10	10
Complaints:				
Received/Investigated/Resolved	0/0/0	1/1/1	1/1/1	1/1/1
Hearings Held	0	0	0	0
Miscellaneous:				
Inspections	3	2	2	2
Inquiries Received and Answered	125	125	125	125
Board Meetings Held	3	4	3	3

# LABOR AND REGULATION

## 1039 South Dakota Athletic Commission - Info

### Mission:

To minimize injury risk and promote safety, to the extent possible, for all participants in the boxing, kickboxing and mixed martial arts competitions through the enforcement of statutes and rules to regulate such events.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	45,131	50,768	58,065	58,065	58,065	0
<b>Total</b>	<b>\$ 45,131</b>	<b>\$ 50,768</b>	<b>\$ 58,065</b>	<b>\$ 58,065</b>	<b>\$ 58,065</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 4,457	\$ 3,920	\$ 10,679	\$ 10,679	\$ 10,679	\$ 0
Operating Expenses	40,674	46,848	47,386	47,386	47,386	0
<b>Total</b>	<b>\$ 45,131</b>	<b>\$ 50,768</b>	<b>\$ 58,065</b>	<b>\$ 58,065</b>	<b>\$ 58,065</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Event Fee	183,327	32,761	80,000	32,000
Promoter License	1,200	1,800	1,500	1,500
Matchmaker License	200		200	200
Manager License	300		100	100
Contestant Registration	6,025	5,625	6,000	6,000
Judge Registration	550	750	400	400
Referee Registration	300	350	400	400
Second Registration	5,000	4,250	5,000	5,000
Timekeeper Registrations	75	75	100	100
Interest Income	284	1,450	1,000	1,000
Amateur Exemption Fee	100	100	100	100
<b>Total</b>	<b>197,361</b>	<b>47,161</b>	<b>94,800</b>	<b>46,800</b>

<b>PERFORMANCE INDICATORS</b>				
Events monitored	6	8	8	8
Promoters Licensed	4	6	5	5
Contestant Registrations	120	112	120	120
Matchmakers	1	0	1	1
Managers	3	0	1	1
Judges	11	15	12	12
Referees	6	7	8	8
Timekeepers	3	3	4	4
Second Registrations	201	170	200	200
Amateur Association Exemption Fee	1	1	1	1

# LABOR AND REGULATION

## 10610 Banking

### Mission:

To charter, license, regulate, supervise and provide guidance to South Dakota financial entities in order to instill consumer confidence, protect consumer interests and promote economic stability through a common sense, efficient and risk-focused approach.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	3,100,003	3,404,677	3,933,415	4,068,636	4,068,636	135,221
<b>Total</b>	<b>\$ 3,100,003</b>	<b>\$ 3,404,677</b>	<b>\$ 3,933,415</b>	<b>\$ 4,068,636</b>	<b>\$ 4,068,636</b>	<b>\$ 135,221</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 2,342,380	\$ 2,594,129	\$ 3,006,644	\$ 3,090,025	\$ 3,090,025	\$ 83,381
Operating Expenses	757,624	810,548	926,771	978,611	978,611	51,840
<b>Total</b>	<b>\$ 3,100,003</b>	<b>\$ 3,404,677</b>	<b>\$ 3,933,415</b>	<b>\$ 4,068,636</b>	<b>\$ 4,068,636</b>	<b>\$ 135,221</b>
<b>Staffing Level FTE:</b>	<b>28.3</b>	<b>30.3</b>	<b>34.5</b>	<b>35.5</b>	<b>35.5</b>	<b>1.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Mortgage Lender Renewal and Application	173,900	181,450	190,500	200,000
Banking Revolving Fund:				
Bank Examination Fee	1,644,971	1,696,129	1,747,000	1,800,000
Trust Company Examination Fee	306,742	443,603	465,500	490,000
Money Lenders Renewal and Applications	334,400	319,000	325,000	331,500
Other License Fees	360			
Money Transmitter Renewal and Application	102,400	88,600	93,000	97,500
Mortgage Broker Renewal and Application	9,930	10,340	10,500	11,000
Mortgage Loan Originator Renewal and Application	347,725	429,215	450,500	473,000
Trust Company Supervision Fee	840,381	976,632	1,075,000	1,180,000
Investment Council Interest	79,785	60,143	40,000	40,000
Other Banks and Loans	302	168,967	1,000	1,000
Miscellaneous (Transfer In)	8,645	10,004		
Trust Company Charter Fees (General Fund)	35,000	25,000	20,000	20,000
Licensing Examination Fees	15,686	3,705	20,000	20,000
<b>Total</b>	<b>3,900,227</b>	<b>4,412,788</b>	<b>4,438,000</b>	<b>4,664,000</b>

<b>PERFORMANCE INDICATORS</b>				
Institutions Examined:				
Money Lenders (on-site)	3	9	15	20
Banks	17	19	18	18
Trust Companies	27	40	37	45
Licenses Issued or Renewed:				
Money Lenders/Money Orders	359/87	368/99	375/103	382/109
Mortgage Lenders/Brokers	256/19	306/20	321/21	337/22
Mortgage Loan Originator	3,059	3,663	3,800	4,000
Charters Cancelled: Banks/Bank Branches	0/1	3/6	2/2	2/2
Total Assets of Banks Supervised	\$25,213,000,000	\$26,798,499,000	\$27,600,000,000	\$28,430,000,000
Total Assets of Trust Companies Supervised	\$234,371,588,000	\$293,512,383,000	\$322,864,000,000	\$355,150,000,000



## LABOR AND REGULATION

### 10612 Trust Captive Insurance Company - Info

**Mission:**

To provide insurance coverage for the potential administrative and examination costs associated with the failure of a trust company regulated in South Dakota.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Federal Funds	0	0	0	0	0	0
Other Funds	133,620	151,328	173,278	183,278	183,278	10,000
<b>Total</b>	<b>\$ 133,620</b>	<b>\$ 151,328</b>	<b>\$ 173,278</b>	<b>\$ 183,278</b>	<b>\$ 183,278</b>	<b>\$ 10,000</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 4,995	\$ 5,237	\$ 18,699	\$ 18,699	\$ 18,699	\$ 0
Operating Expenses	128,625	146,090	154,579	164,579	164,579	10,000
<b>Total</b>	<b>\$ 133,620</b>	<b>\$ 151,328</b>	<b>\$ 173,278</b>	<b>\$ 183,278</b>	<b>\$ 183,278</b>	<b>\$ 10,000</b>
Staffing Level FTE:	0.1	0.1	0.0	0.0	0.0	0.0

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>PERFORMANCE INDICATORS</b>				
Number of Trust Companies Registered	89	96	103	110
Number of Claims Submitted to Captive	0	0	0	0

# LABOR AND REGULATION

## 1063 Insurance

### Mission:

To protect the public by providing quality assistance, providing fair industry regulation, and promoting healthy and competitive insurance and investment markets in South Dakota.

	ACTUAL FY 2017	ACTUAL FY 2018	BUDGETED FY 2019	REQUESTED FY 2020	GOVERNOR'S RECOMMENDED FY 2020	RECOMMENDED INC/(DEC) FY 2020
<b>FUNDING SOURCE:</b>						
General Funds	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	0
Federal Funds	55,528	36,513	40,910	40,910	40,910	0
Other Funds	2,714,229	2,771,062	3,385,692	3,385,692	3,385,692	0
<b>Total</b>	<b>\$ 2,769,756</b>	<b>\$ 2,807,575</b>	<b>\$ 3,426,602</b>	<b>\$ 3,426,602</b>	<b>\$ 3,426,602</b>	<b>\$ 0</b>
<b>EXPENDITURE DETAIL:</b>						
Personal Services	\$ 2,387,267	\$ 2,273,311	\$ 2,577,547	\$ 2,577,547	\$ 2,577,547	0
Operating Expenses	382,490	534,264	849,055	849,055	849,055	0
<b>Total</b>	<b>\$ 2,769,756</b>	<b>\$ 2,807,575</b>	<b>\$ 3,426,602</b>	<b>\$ 3,426,602</b>	<b>\$ 3,426,602</b>	<b>\$ 0</b>
<b>Staffing Level FTE:</b>	<b>36.0</b>	<b>34.2</b>	<b>37.7</b>	<b>37.7</b>	<b>37.7</b>	<b>0.0</b>

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>REVENUES</b>				
Taxes Collected (General Fund)	83,020,726	90,507,636	85,500,000	90,500,000
Insurance Operating Fund:				
Agent Licensing/Renewal	9,217,424	10,189,167	8,200,000	9,500,000
Misc and Legal	14,299	11,350	13,000	14,000
Retaliatory & Filing Fees	1,192,953	1,445,306	1,175,000	1,250,000
Administrative Penalties	581,257	253,784	550,000	550,000
Admin, Renewal & Supervision Fees	273,316	243,639	259,000	265,000
Producer Exam & Course Fees	33,799	59,205	32,000	45,000
Interest	17,578	15,930	20,000	15,000
Subsequent Injury Fund:				
Sub-Injury Fund Assessment	500	203		3,000,000
Investment Council Interest	24,086	26,250	25,000	25,000
Continuing Education Fund:				
Agent Renewal Fees	69,820	38,350	70,000	38,000
Special Collections for Workers Comp:				
Policy Fee	270,214	289,142	265,000	275,000
Exam Fund	1,341,000	1,355,000	1,340,000	1,350,000
Securities Fund:				
Inv. Companies Notification Fees	30,596,150	31,166,100	32,459,000	32,000,000
Registration Fees	23,775	19,777	25,000	25,000
Broker-Dealer Licensing Fees	196,200	194,100	208,060	210,000
Agent Licensing Fees	13,996,150	14,715,500	14,848,480	14,500,000
Name Change Filing Fees	145,900	110,800	155,000	120,000
Extension of Registration Fees	5,450	4,700	6,000	6,000
Inv. Adviser Agent Fees	112,900	117,300	119,000	110,000
Investment Adviser Fees	182,900	186,800	194,000	194,000
Fines	13,250	41,250	14,000	40,000
Fund Interest	44,960	45,941	47,000	44,000
Misc	106,306	8,615	2,100	8,100
Franchise Fee	152,150	162,300	162,000	162,000
Other Exemptions	122,100	135,225	132,000	134,000
<b>Total</b>	<b>141,755,163</b>	<b>151,343,370</b>	<b>145,820,640</b>	<b>154,380,100</b>

### PERFORMANCE INDICATORS

Insurance:				
Total Licensed/Domestic Companies	1,390/71	1,375/61	1,390/70	1,385/65
Companies Licensed/Approved Mergers	29/12	18/5	20/10	20/10
Agent Licenses Issued	17,668	21,866	15,000	15,500

	ACTUAL FY 2017	ACTUAL FY 2018	ESTIMATED FY 2019	ESTIMATED FY 2020
<b>PERFORMANCE INDICATORS</b>				
Agent Appointments Issued	80,419	92,321	68,000	80,000
Agent Licenses Renewed	28,100	30,944	25,000	30,000
Renewed Appointments	228,783	246,045	210,000	230,000
Agent Appointment Cancellations	62,113	63,183	60,000	62,000
Property/Casualty Filings Reviewed	6,293	5,882	7,000	6,000
Life/Health Filings Reviewed	2,964	3,099	3,000	3,000
Consumer Complaints Closed	545	583	660	650
Enforcement/Closed Files	1,318	1,459	1,100	1,400
Continuing Education:				
Agents Paying License Renewal	3,621	2,053	3,400	2,000
Agents Exempt	284	277	305	300
Courses Reviewed	691	876	700	750
Transfer to General Fund (SDCL 4-4-4.4)	\$53,971,587	\$55,889,544	\$54,504,038	\$55,075,498
Subsequent Injury Fund:				
New Claims	1	1	2	1
Claims Paid	57	50	65	60
Dollars Paid	\$1,089,621	\$1,089,452	\$1,500,000	\$1,200,000
Securities:				
New Securities Applications	18	19	20	20
Extension and Amendments	53	48	45	43
Private Placement/Other Exemptions	0/417	0/451	0/475	0/485
Invest. Co. Notice Filings-New/Total	3,801/26,640	3,083/25,167	3,100/25,500	3,100/25,750
New Franchise Applications/Renewals	236/604	242/653	245/675	255/700
Broker-Dealers/B-D Agents Licensed	1,234/94,217	1,241/99,042	1,275/95,500	1,275/95,500
Investment Advisors/IA Agents Licensed	52/1,939	53/1,914	55/1,850	55/1,850
Investment Advisors Notice Filings	844	858	875	875
Investigations	84	68	85	90
Compliance Exams	19	51	65	65